#### ATTORNEY DOCKET NO. 5923.0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Goecke

TITLE : Adhesive Tape

SERIAL NO. : 10/674,108

FILING DATE : September 29, 2003

ART UNIT : 1788
CONFIRMATION NO : 2438

ATTORNEY DOCKET NO. : 5923.0001

# DECLARATION OF JOSEPH T. MAUSAR UNDER 37 C.F.R. 1.132 I, Joseph T. Mausar, declare that:

- I am Director of Marketing & Regulatory Affairs of Chemsultants
   International. My curriculum vitae is attached at Exhibit A.
- I attended Kansas City Institute of Art in Kansas City, Missouri
  and graduated with a Bachelor of Fine Arts in Industrial Design.
  I also attended Baldwin-Wallace College in Cleveland, Ohio and
  graduated with a Masters in Business Administration.
- 3. Prior to my present position at Chemsultants International, I was employed at Avery Dennison from 1974 to 1987 in various positions related to pressure sensitive products used in various applications including labels, tapes and graphic materials. I have over 25 years of direct experience in pressure sensitive adhesive products.

- I am a joint inventor on U.S. Patent Application No. 12/231,501 entitled "Multilayered, Composite Proton Exchange Membrane and a Process for Manufacturing the Same."
- I am being compensated for preparing this declaration at my normal consulting rate.
- 6. I have reviewed the specification and drawings of the application as filed, and the current claims of the captioned application ("the Subject Application"). I have also reviewed the Office Action dated August 23, 2010 ("the Office Action") in the Subject Application. Copies of the Subject Application and the Office Action are attached at tabs B and C respectively.
- 7. In my opinion, a person having ordinary skill in the art at the time of the invention disclosed in the Subject Application is one with a background in pressure sensitive adhesive technology and products combined with 3·5 years minimum experience in the physical testing, research or quality assurance areas of pressure sensitive adhesives. I qualify as a person of ordinary skill art.
- 8. Regarding the rejection under 35 U.S.C. §112, first paragraph, I understand the patent examiner has determined that the term "substantially uniform" in claim 1 is not supported by the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor, at the time the application was filed in 2003, has possession of the claimed invention.
- 9. On page 4 of the filed application, the applicant describes test samples constructed of a semi-rigid, polyvinyl chloride extruded from a 2 ½ inch diameter NRM extrusion machine under specified

- conditions. The paragraph continues that a textured first surface of the extruded polymer layer was achieved.
- 10. On page 5 of the filed application, the applicant describes tests on the textured samples constructed. 10 replicates of each sample were measured. Results indicate thickness of the material with and without the liner, on average as being 68.4 mil and 65.4 mil respectively.
- 11. Page 5 further describes "caliper or thickness" determinations of the example test sample described as "textured." The thickness determination is said to have been conducted according to the PSTC:33 method
- 12. One skilled in the art would understand, in 2003, the PSTC-33 method included the determination of the thickness (caliper) of the pressure sensitive tape under test wherein the sample under test is placed under a presser foot of between 5 and 16 mm in diameter. This test provides a measurement of thickness of the test surface
- 13. The chart on page 7 of the filed application indicates that 10 measurements of the textured samples without liners averaged 65.4 mils with a standard deviation of 0.5 mil. The standard deviation of 0.5 mil as a percentage of the indicated thickness is a 0.76% variation. As 0.5 mil is 1 standard deviation, or 1 Sigma, 66% of all areas of the sample were within 0.5 mil in thickness. At a variation of 1.5 mils, a variation of 2.3%, this variation would equate to 3 Sigma or a 99+% of all areas of the sample were within

- 1.5 mils in thickness. This indicates the substantial uniformity in thickness of the samples.
- 14. Figure 1 of the filed application shows a cross sectional view of a tape including an apparently substantially uniform polymeric material (1). At the top of page 4 of the filed application, the polymeric material is said to be preferably but not necessarily textured and having a thickness of about 0.020 to 0.065 inches.
- 15. Based on the disclosures above, I conclude that the Subject Application shows to one skilled in the art that the applicant possessed the claimed "substantially uniform" but possibly textured polymer layer at the time the application was filed.
- 16. I have also reviewed the applied prior art: U.S. Patent No. 4,484,574 to DeRusha et al. ("DeRusha"); U.S. Patent No. 3,895,153 to Johnston et al. ("Johnston"); U.S. Patent No. 5,508,084 to Reeves et al. ("Reeves"); U.S. Patent No. 4,248,762 to Hornibrook et al. ("Hornibrook"); Copies of the DeRusha, Johnston, Reeves and Hornibrook are attached at tabs D, E, F and G respectively.
- 17. Regarding the rejection under 35 U.S.C. §102, DeRusha discloses a foam tape that may be used as a bandage or an athletic wrap. DeRusha, Column 1, lines 6 and 7. DeRusha teaches that the adhesive must securely attach to one side of the foam and releasably adhere to the other side of the foam. DeRusha, Column 3, lines 28 30. To achieve this dual adhesivity strongly adhered on one side and releasably adhered on the other DeRusha describes rolling a sandwich of foam, adhesive and a

- release liner and storing the roll for at least 24 hours allowing the adhesive to set. Afterwards, the release liner is removed resulting in the adhesive being permanently affixed to the front side of the foam layer and releasably adhered to the back side of the foam.

  DeRusha, Column 4, lines 6 9, 19 22 and 29 31.
- 18. DeRusha notes that a "relatively 'hard' adhesive is required if the tape is to be reversibly self-rolled. The adhesive should have peel strength (as measured by Pressure Sensitive Tape Council adhesion test method 1 (PSTC-1)) of 250 g/cm width to 850 g/cm width at 1 mil adhesive thickness...." DeRusha, Column 3, lines 40 45.
- 19. One skilled in the art would understand the PSTC-1 test method entails adhering the test sample to a backing and peeling within one minute (known as dwell) at a 180 degree angle.
- 20. Claim 12 of the Subject Application recites, among other things, an adhesive tape having "a peel adhesion greater than 2.0 lb/in width."
- 21. The specification at page 6, first full paragraph, describes the peel adhesion test conducted on the applicant's test samples. The test describes a modified PSTC-101 method. The test method describes peeling the test sample from the substrate at a 90 degree angle after a dwell time of one hour.
- I believe the peel adhesion claim language refers to the modified test method described in the specification on page 6.
- I do not believe that a skilled artisan would interpret the PSTC-1 test described by DeRusha to be equivalent to the test described

by the applicant. Among the reasons are the different test methodologies including a peel angle of 180 degrees in DeRusha and a peel angle of 90 degrees in the Subject Application and a dwell of one minute in DeRusha and one hour in the Subject Application. One skilled in the art would recognize that peel angle and dwell time variations will change measured peel adhesions.

- 24. For this reason, I disagree with the Office's contention that DeRusha's peel adhesion range teaches the claimed range for an "adhesive tape" having a peel adhesion greater than 2.0 lb/in width.
- Therefore, it is my opinion that DeRusha fails to disclose each and every limitation of claim 12.
- 26. Regarding the rejections under 35 U.S.C. §103, Johnston discloses a friction surface sheet comprised of film of polyethylene terephthalate (reference number 11) bonded on one side to thermoplastic layer (reference number 13) and bonded on the other side to an adhesive layer (reference number 38). Johnston, Column 2, lines 4 11 and 44 54.
- 27. Johnston further discloses that an upper, embossed layer (reference number 18) may be bonded atop the thermoplastic layer (reference number 11). Johnston, Column 2, lines 48 – 51. Johnston continues that the upper, embossed layer (reference number 18) should have a Shore A hardness between 60 and 95.
- Based on my review of Johnston, neither Johnston's figures nor specification discloses a polymer layer (reference number 18) with

- Shore A hardness between 60 and 95 attached to the layer of adhesive (reference number 38).
- 29. Claim 1 of the Subject Application recites, among other things, a "polymer layer having a Shore A Hardness of between about 92 and 100 .... and a layer of adhesive attached to said polymer layer."
- 30. I do not believe that a person skilled in the art would read Johnston's disclosure as describing an adhesive layer attached to a polymer layer.
- 31. For at least the reason that Johnston does not show the layer of adhesive attached to the polymer layer, it is my opinion that Johnston fails to disclose each and every limitation of claim 1.
- 32. Claim 11 of the Subject Application recites, among other things, a "polymer layer" and a layer of adhesive with one side "being in direct and uninterrupted contact with the polymer layer..."
- 33. I do not believe that a person skilled in the art would read Johnston's disclosure as describing an adhesive layer with one side being in direct and uninterrupted contact with the polymer layer.
- 34. For at least the reason that Johnston does not show the layer of adhesive in direct and uninterrupted contact with the polymer layer, it is my opinion that Johnston fails to disclose each and every limitation of claim 11.
- 35. Regarding the rejection under 35 U.S.C. §103 starting at page 7, paragraph 9 of the Office Action, Reeves discloses a mouse pad (1) having a control surface (2). Reeves, Col. 9, lines 3 and 4. Reeves

- shows the control surface (2) as including a control layer (19) and describes the control layer as preferably having a "hardness ranging from about 70 durometer to about 140 durometer, measured on the Shore 'A' durometer scale." Reeves, Figures 2 9 and Col. 14, lines 25 27.
- 36. One skilled in the art would understand the Shore "A" durometer scale as being one of several scales to objectively assess the hardness of materials. One would also know that the range of the Shore "A" scale is 0 100.
- 37. Durometer is one of several measures of the hardness of a material. Hardness may be defined as a material's resistance to permanent indentation. The term durometer is often used to refer to the measurement, as well as the instrument itself. Durometer is used as a measure of hardness in polymers, elastomers and rubbers
- 38. There are several scales of durometer, used for materials with different properties. The two most common scales, using slightly different measurement systems, are the ASTM D2240 type A (Shore A) and type D (Shore D) scales. The A scale is generally for softer plastics, while the D scale is for harder ones. Each scale results in a value between 0 and 100, with higher values indicating a relatively harder material.
- 39. Durometer, like many other hardness tests, measures the depth of an indentation in the material created by a given force on a standardized indenter. This depth is dependent on the hardness of

- the material, its viscoelastic properties, the shape of the indenter, and the duration of the test.
- 40. ASTM D2240 durometers allows for a measurement of the initial hardness, or the indentation hardness after a given period of time. The basic test requires applying the force in a consistent manner, without shock, and measuring the hardness (depth of the indentation). If a timed hardness is desired, force is applied for the required time and then read.
- 41. The final value of the hardness depends on the depth of the indenter after it has been applied for 15 seconds on the material. If the indenter penetrates completely through, or 2.54 mm (0.100 inch) or more into a thicker material, the durometer is 0. If it does not penetrate at all, then the durometer is 100.
- 42. Durometer is a dimensionless quantity, and there is no simple relationship between a material's durometer in one scale, and its durometer in any other scale, or by any other hardness test.
- On the Shore A Hardness scale a durometer value less than 0 or a value that exceeds 100 is meaningless.
- 44. Reeves discloses its hardness teaching only once. Specifically, Reeves makes a single mention of a Shore "A" hardness range of about 70 to about 140 at column 14, line 26.
- 45. The described range exceeds the limits of the scale for more than half of the range described. In other words, only the 30 units of hardness of between 70-100 of the range are possible. The 40 units of hardness above 100, that is from 101-140 Shore A, are simply impossible.

- 46. I cannot discern any fair teaching of the actual hardness of the control layer from the Reeves specification, and do not believe that a person skilled in the art would be able to.
- 47. Regarding the rejection under 35 U.S.C. §103, starting at page 9, paragraph 10 of the Office Action, Hornibrook is said to disclose, among others, "a peel adhesion greater than 2.0 lb/in width (Column 5, lines 1-3)."
- 48. Hornibrook describes the test methodology used to arrive at the recited peel adhesion starting at column 4, line 63. Specifically, Hornibrook recites the test as using the standard Pressure Sensitive Tape Council (PSTC) 4.5 pound (2.04 kg.) roller. The disclosure continues that the peel adhesion was measured at "180° C." after a "24 hour wetout or 'dwell' period." Column 4, line 68 bridging to column 5, line 1.
- 49. By convention "180" C" would indicate that the test was conducted at the called for temperature. To the contrary and after study, one skilled in the art would recognize the Celsius identifier "C" to be a typographical error. Instead of temperature, one skilled in the art would recognize that the test was conducted at a 180 degree <u>angle</u>.
- 50. That temperature was an error is supported by the balance of the Hornibrook specification. For example, where temperatures are clearly recited, they are listed in Fahrenheit with Celsius conversions noted parenthetically. See e.g. column 4, lines 30 and 51; column 6, line 24; column 7, line 1. Additionally, the specification later calls for a test to be conducted at a 180 degree angle. See, column 6, line 31.

- 51. Peel adhesion changes as peel angle changes. For example, at least one technical handbook notes that test results change depending on peel test angle, when all else is held constant. See, <a href="Handbook of Pressure Sensitive Adhesive Technology">Handbook of Pressure Sensitive Adhesive Technology</a>, 3d Edition, Satas & Associates, 1999, Chapter 5 Peel Adhesion, page 79.
- 52. Claim 12 of the Subject Application recites, among other things, an adhesive tape having "a peel adhesion greater than 2.0 lb/in width."
- 53. The specification at page 6, first full paragraph, describes the peel adhesion test conducted on the applicant's test samples. The test describes a modified PSTC-101 method. The modifications include at least peeling the test sample from the substrate at a 90 degree angle after a dwell time of one hour. Page 6, lines 6, 7.
- 54. I believe the claim peel adhesion language refers to the test as described in the specification on page 6.
- 55. I do not believe that a skilled artisan would interpret the results of the peel adhesion test described by Hornibrook to be equivalent to the results of the peel adhesion test described by the applicant. Among the reasons are the different test methodologies including applicant's use of a 90 degree peel angle as opposed to Hornibrook's 180 degree peel angle.
- 56. One skilled in the art would expect peel adhesion tested at a 180 degree angle to be different than a peel adhesion tested at a 90 degree angle.

- 57. For this reason, I disagree with the Office's contention that Hornibrook's peel adhesion teaches the claimed peel adhesion greater than 2.0 lb/in width.
- Therefore, it is my opinion that Hornibrook fails to disclose each and every limitation of claim 12.

All statements made of my own knowledge are true, and all statements made on information and belief are believed to be true. I have been warned that willful, false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the application or of any patent issuing thereon.

Date: February 222011

Joseph T. Mausar

#### ATTORNEY DOCKET NO. 5923,0001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Goecke

TITLE : Adhesive Tape

SERIAL NO. : 10/674,108

FILING DATE : September 29, 2003

ART UNIT : 1788

CONFIRMATION NO. : 2438

ATTORNEY DOCKET NO. : 5923.0001

Exhibit A

Joseph Mausar CV

Position: Director of Marketing & Regulatory Affairs

Areas of Expertise:

Products: PSA labels, tapes and graphics

Printing papers including coated, uncoated, impregnated and synthetics for offset sheet and flexographic

roll converting

Calendared and cast PVC films

Reflective films and optics in specialty applications

Photographic film and processing

Flexographic, offset and digital printing technology

PSA coating methods including gravure, multiple roll, knife over roll, slot die and wire rod

Fuel Cell technology including polymer membranes and PEMFC MEAs

Adhesives and Coatings Markets:

Printing and Packaging Labels & Tapes & Converting Medical and Pharmaceutical

Fuel Cells

Business: Federal, State and Special organizational grant proposal development

Development and Implementation of strategic business, marketing and sales operation plans and budgets

New product opportunity identification, product parameter definition, product development and field

introductions

New sales and market development planning and implementation

Advertising, promotion and communications planning / implementation

Quality system planning and implementation

Expert witness

Industry

Experience: Avery Dennison 1974 - 1987

Flex-O-Lite/Services & Materials, 1987 - 1990 Ritrama, 1990 - 1993

Freehold Enterprises, 1994 - 1999

Chemsultants International, 1999 - present

Academic Background:

M.B.A. in Marketing Management, Baldwin-Wallace College, Cleveland, OH

B. F. A. In Industrial Design, K.C.I.A., Kansas City, MO

Training and Certifications:

ISO 17025, ISO 9001, and ISO 13485 Standards

cGMP standards

ISO 17025, A2LA Auditors program

ASTM E4. Calibration and Verification of Force Measurement Equipment

Publications: On the Surface: Surface energy and surface tension are key measurements for the wet-

out of adhesives, Inks and coatings, Adhesive and Sealant Industry magazine, October,

2010, pp. 33-36,

Manufacturing pressure sensitive adhesive products, Adhesive and Sealant Industry

magazine, April, 2005, pp. 35-38.

Coating Conundrum: A pilot coating company may be the answer for the roll coating of adhesives, Adhesive and Sealant Industry magazine, September, 2004, pp. 22-26. Testing and Evaluating the performance of pressure sensitive adhesives for TDDS patches, Transdermal magazine, accepted for publication in the May 2011 issue.

Patents: Multilayered, Composite Proton Exchange Membrane and a Process for Manufacturing

the Same, U.S. Patent Application No. 12/231,501

## ATTORNEY DOCKET NO. 5923.0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Goecke

TITLE : Adhesive Tape

SERIAL NO. : 10/674,108

FILING DATE : September 29, 2003

ART UNIT : 1788

CONFIRMATION NO. : 2438

ATTORNEY DOCKET NO. : 5923.0001

Exhibit B

Subject Application

## Pressure Sensitive Adhesive Tape for Floor Marking

#### ABSTRACT:

The pressure sensitive adhesive tape of this invention comprises a first layer of polymeric material, particularly a polyvinyl chloride, having a Shore A Hardness of between 92 and 100 and a second layer of adhesive material attached to a surface of the layer of polymeric material.

## BACKGROUND:

This invention relates to an adhesive tape having superior ductility. strength, tear resistance and abrasion resistance, particularly a pressure sensitive adhesive. Polymeric pressure sensitive adhesive tapes are economical and adaptable to many different applications. One primary example is as floor marking in industrial and factory environments. However, there are several disadvantages to using such tape in industrial settings. One disadvantage is that the tape lacks sufficient strength and hardness to prevent wearing, tearing, cracking and breakage from heavy and repeated traffic, such as from forklift Similarly, as a result of poor adhesive quality, repeated traffic has a tendency to detach many commercially available tapes from the floor. Another disadvantage is that the aesthetic qualities and physical properties of the tape are diminished from scuffing, scratching, and the like. Such disadvantages plague existing polymeric pressure sensitive adhesive tapes. Because of these disadvantages that have been associated with polymeric pressure sensitive adhesive tape, wide industry acceptance has been historically difficult to achieve. Accordingly, many opt to rely on the time consuming and exacting practice of painting.

In view of the above discussion, it is an advantage of the present invention to provide a polymeric adhesive tape that has superior ductility, strength, tear resistance and abrasion resistance. Other advantages of the present invention will be apparent from the following detailed description.

#### SUMMARY OF INVENTION

According to one embodiment, an adhesive tape is provided. The tape has a first layer of polymeric material having a Shore A Hardness of between 92 and 100 and a thickness of between .020" and .065", and a second layer of adhesive. Preferably, the adhesive is of a pressure sensitive type.

#### BRIFF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a cross-sectional view illustrating the embodiment of a polymeric pressure sensitive adhesive tape.

#### DETAILED DESCRIPTION OF THE INVENTION

The adhesive tape of this invention usually comprises a layer of polymeric material and at least one layer of adhesive material. The pressure-sensitive adhesive tape of this invention is not limited to having only the above layers of polymeric material and layer of pressure-sensitive adhesive material. It may optionally have an additional layer, such as a laminating substrate on an outermost side of the above adhesive layer. The laminating substrate is usually

peeled off and thrown away when pressure-sensitive adhesive tape is actually used. Therefore, inexpensive materials are preferred, however, there are no particular limitations on the materials used for the laminating substrate.

Figure 1 is an example of the pressure-sensitive adhesive tape of this invention wherein a layer of polymeric material (1) is attached to the top side of a layer of pressure-sensitive adhesive material (2) and a laminating substrate (3) is attached to the bottom side of the pressure-sensitive adhesive material. Upon removal of the laminating substrate (3), the tape can be applied to a floor (4) with the application of pressure.

The pressure-sensitive adhesive tape of this invention can be produced in a variety of lengths, widths, and thickness. A variety of colors can also be used for the outer surface of the layer of polymeric material (1). For example, safety yellow can be used for aisle markings, or red can be used for quarantine and reject markings in a production facility. Coloring can be achieved by introducing a colorant in any form, including pigments and dyes into the polymeric material.

The adhesive employed in layer material (3) may be any of those heretofore employed in the art for preparing adhesive structures. By way of illustration, suitable adhesives of this general description include those disclosed in U.S. Pat. No. 5.061.559, herein incorporated by reference.

The layer of polymeric material (1) may be a durable polymer such as polyvinyl chloride, polycarbonate, or a terpolymer comprised of acrylonitrile, butadiene and styrene or the like. A clear or tinted polyvinyl chloride is a preferred material. The polymer selected must have Shore A Hardness between, for example, 92-100, and preferably between 93-97. The outer surface of the

layer of polymeric material (1) is preferably textured. The layer of polymeric material (1) may have a thickness of about, for example, .020" to .065".

Advantageously, this embodiment of the invention provides improved tear resistance, strength, and abrasion resistance by employing the sum or all of the combination of polymer selected, Shore A Hardness, textured surface, and layer thickness.

## EXAMPLES

One embodiment of the invention will be described below in greater detail through the following examples.

Test samples were performed on a 4" wide sample of the pressure sensitive adhesive tape of this invention. The example tape was constructed of a semi-rigid 95A polyvinyl chloride from Artemis Industries, 2550 Gilcrest Rd, Akron Oh 44305 which was extruded from a 2&¼" diameter NRM extrusion machine at 360-380 °F at an extrusion rate of 400 ft per hour to yield a .065 thick, 4" wide layer. A textured first surface of the extruded polymer layer was achieved by following the above process parameters. During extrusion a rubberized double sided carpet tape (Product # 591B) from International Tape Co., P.O. Box 240, 6 Industrial Drive, Windham, NH 03087 was applied to a second side of the extruded polymer layer. A tape from Windmill Tapes of Great Britain (www.windmilltapes.com) was used for comparison purposes. Test samples were conditioned at 73 ± 3°F and 50 ± 5% relative humidity for at least 24 hours prior to testing.

Tensile strength at yield point was determined according to ASTM D 882 testing method. A 0.5" x 8" sample was prepared and placed in the jaws of the

instrument at a separation of 4.0". The tester was started at a separation rate of 2.0 in/min. At the instance the tape yielded the force was recorded. Five replicates of each sample were conducted and the results were normalized to pounds per inch width. Results indicate higher yield point and higher absolute forces involved at yield point for the pressure sensitive adhesive tape of this invention. Particularly, the yield point in both machine and traverse direction were respectively, on average, 3,176 lb/in<sup>2</sup> and 3,136 lb/in<sup>2</sup>.

Tear resistance was determined according to the ASTM D 1004 test method. The samples were die cut according to the method. The liner from the sample was removed and the sample was placed in the jaws of the tester at a separation of one inch. The tester was started at a rate of 2.0 in/min. The maximum force encountered during testing was recorded. Five replicates of each sample in both the machine and traverse direction were tested. Results indicate substantially improved tear strength in both the machine and traverse directions for the pressure sensitive adhesive tape of this invention. Particularly, the tear strength in both machine and traverse direction was respectively, on average, 22.3 lb and 22.1 lb.

Caliper or thickness was determined according to the PSTC-33 method. Caliper of the material was determined both with and without the liner. Ten replicates of each sample were measured. Results indicate substantially increased thickness of the pressure sensitive adhesive tape of this invention, partly because of the inherent characteristics of the semi-rigid surface. Particularly, the thickness of the material, with and without the liner, was respectively, on average, 68.4 mil and 65.4 mil.

Peel adhesion was tested according to a modified PSTC-101D method. The modification included dwell time. Peel adhesion is a measure of the strength of the adhesive bond between the tape and the test surface. Exactly one (1.0) inch wide samples were applied to a standard stainless steel test panel at a rate of 24 in/min with a 4.5 pound rubber covered roller according to the method. The tape was then peeled from the substrate at a 90° angle after a dwell time of one hour. The force required for removal was measured. Five replicates of each sample were tested. Results indicate substantially increased peel adhesion for the pressure sensitive adhesive tape of this invention when applied to stainless steel. Particularly, the peel adhesion of this material was, on average, 5.2 lb/in width.

Abrasion resistance was determined according to a modified ASTM D 5264 test method. The material was cut to a 2.5" x 6" size. A new 2" x 4" piece of standard A-5 receptor material (moderate abrasive) from Gavarti Associates Ltd. was affixed with double-sided tape to the four pound instrument weight (0.5 lb/in2 load). This in turn was placed over the test sample. The instrument was set for 100 strokes and operation was initiated. The instrument strikes an arc with the abrasive over the test material. Each stroke consists of one motion back and forth over the sample. When the cycles were completed the weighted abrasive was lifted and the test sample removed. At the conclusion of the test the overall quality of each sample was evaluated relatively for scratch resistance. Results indicate that the abrasion resistance of the pressure sensitive adhesive tape of this invention is improved over the comparative tape.

Results obtained were as follows:

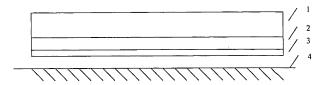
	Average	σ (standard deviation)	N (test numbers)			
		deviation	numbers)			
Tensile at Yield at 2.0 in/min, lb/in <sup>2</sup>						
Inventive Sample Machine Direction	3,176	152	5			
Inventive Sample Traverse Direction	3,136	56	5			
Comparative Sample Machine	2,400	160	5			
Direction						
Comparative Sample	1,720	120	5			
Transverse Direction			J			
Tear at 2.0 in/min, lb.						
Inventive Sample Machine Direction	22.3	1.6	5			
Inventive Sample Traverse Direction	22.1	0.4	5			
Comparative Sample Machine	2.2	0.1	5			
Direction						
Comparative Sample	1.6	0.1	5			
Transverse Direction						
Caliper, mil.						
Inventive Sample With Liner	68.4	0.5	10			
Inventive Sample Without Liner	65.4	0.5	10			
Comparative Sample	5.5	0.04	10			
Adhesion to Stainless lb/in width						
Inventive Sample	5.2	0.5	5			
Comparative Sample	1.7	0.03	5			
Comparative semple						
	1					
Abrasion Resistance						
Inventive Sample	Excellent – no sign of damage					
Comparative Sample	Fair – moderate damage					

Since certain changes may be made without departing from the scope of the invention herein involved, it is intended that all matter described in the foregoing description, including the examples, shall be interpreted as illustrative and not in a limiting sense.

#### What is claimed is:

- An adhesive tape comprising:
- (1) a polymer layer having a Shore A Hardness of between about 92 and 100; and
  - (2) a layer of adhesive attached to said first polymer layer.
- The adhesive tape of claim 1, further comprising a substrate attached to outermost side of said second layer.
- The adhesive tape claim of claim 1, wherein said polymer layer includes a textured surface.
- The adhesive tape of claim 1, wherein said polymer layer is comprised of a polyvinyl chloride.
- The adhesive tape of claim 1, wherein said polymer layer includes coloring pigment.
- The adhesive tape claim of claim 4, wherein said polyvinyl chloride comprises a clear polymer.
- The adhesive tape claim of claim 1, wherein said pressure sensitive adhesive comprises a rubberized double-sided tape.
- 8. The adhesive tape claim of claim 1, wherein said first layer has a thickness of between about .020" to .065
- The adhesive tape claim of claim 1, wherein said first layer has a Shore A Hardness of between about 93 and 97.
- The adhesive tape of claim 1, wherein said adhesive is pressure sensitive.

# FIGURE 1



L:\GBG\DATA\2003\SE03\goec20001.app.doc

## ATTORNEY DOCKET NO. 5923,0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Goecke

TITLE

Adhesive Tape

SERIAL NO.

10/674,108

FILING DATE

September 29, 2003

ART UNIT

1788

CONFIRMATION NO.

2438

ATTORNEY DOCKET NO. : 5923,0001

# Exhibit C

Office Action dated August 23 2010



UNITED STATES DEPARTMENT OF COMMERCE Philed States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO Box 1459 Alexandria Virginia 2231,3-1450 www.mpc.por

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/67-1.108	09/29/2003	Thomas R. Goecke	5923.0001	2438
86625 Brennan Mani	7590 08/23/2010 na & Diamond, LLC		EXAM	INER
The Carnegie Building		NORDMEYER, PATRICIA L		
75 East Marke Akron, OH 44:			ART UNIT	PAPER NUMBER
			1783	
			NOTIFICATION DATE	DELIVERY MODE
			08/23/2010	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@bmdllc.com wsharders@bmdllc.com jaruller@bmdllc.com

		Applicati	on No.	Applicant(s)	
Office Action Summar		10/674,1	OB.	GOECKE, THOMAS	. P
	Office Action Summary	Examine		Art Unit	, IV.
		1	. Nordmeyer	1783	
Period fe	The MAILING DATE of this communi				ress
A SH WHIC - Exte after - II NC - Failt Anv	ORTENED STATUTORY PERIOD FO CHEVER IS LONGER, FROM THE M naisons of time may be available under the provisions SX (6) IMONTS from the unailing date of this cormor period to reply its specified above, the maximum sature to reply which the self or extended priend for reply reply received by the Office biter than three months a ded patent term adjustment 1.5es 37 CFR 1.704(b).	AILING DATE OF TH of 37 CFR 1.136(a). In no eventication, tutory period will apply and we will by statute, cause the age	HIS COMMUNICATION  ent. however, may a reply be tim  dill expire SIX (6) MONTHS from	N, nely filed the mailing date of this com	
Status					
1)	Responsive to communication(s) file	d on 15 June 2010			
		b) This action is r	on-final		
	Since this application is in condition			secution as to the r	merits is
	closed in accordance with the practic				morno io
Disposit	ion of Claims				
4)[🔀]	Claim(s) 1-7 and 9-12 is/are pending	in the application			
	4a) Of the above claim(s) is/ar		nsideration.		
	Claim(s) is/are allowed.				
6)🖾	Claim(s) 1-7 and 9-12 is/are rejected				
	Claim(s) is/are objected to.				
8)	Claim(s) are subject to restric	tion and/or election r	equirement.		
Applicat	ion Papers				
9)[]	The specification is objected to by the	Evaminer			
	The drawing(s) filed on is/are:		□ objected to by the I	Evaminer	
,	Applicant may not request that any object				
	Replacement drawing sheet(s) including				1 121/4)
11)	The oath or declaration is objected to				
	ınder 35 U.S.C. § 119	J III Examiner.	ore the diacrica Oince	Action of form F 1C	-152.
	_				
	Acknowledgment is made of a claim t  All b) Some * c) None of:	or foreign priority un	der 35 U.S.C. § 119(a)	)-(d) or (f).	
a)					
	1. Certified copies of the priority				
	2. Certified copies of the priority				
	3. Copies of the certified copies of			ed in this National S	tage
	application from the Internation				
7.5	See the attached detailed Office action	n for a list of the cert	ified copies not receive	ed.	
Attachmen	• •				
	e of References Cited (PTO-892) e of Draffsperson's Patent Drawing Review (P	TO 040)	Interview Summary     Paper More Wheir De	(PTO-413)	
	e of Draffsperson's Patent Drawing Review (P nation Disclosure Statement(s) (PTO/SB/08)	10-848)	Paper No(s)/Mail Da  5) Notice of Informal P		
Pape	r No(s)/Mail Date		6) Other	44	
S. Petent and To TOL-326 (R	ademail Office	Office Action Summe	n. D-	et of Paper No Maril Date	- 00400707

Art Unit: 1783

#### DETAILED ACTION

## Reopening of Prosecution

In view of the remand filed on May 6, 2010, PROSECUTION IS HEREBY REOPENED.

Upon further reconsideration of the applied prior art and arguments of record, all rejections of record as set forth in the Non-final rejection dated October 19, 2006 are hereby withdrawn. As new prior an has been found and claims furthered analyzed, new rejections have been applied below.

# Claim Rejections - 35 USC § 112

- 1. The following is a quotation of the first paragraph of 35 U.S.C. 112:
  - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 2. Claims 1 7, 9 and 10 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Claim 1 contains the limitation of "substantially uniform," which is not supported by the specification. The specification is silent with regard to the thickness being uniform. However, the specification does state that the polymer layer contains a textured surface. It is unclear how the polymer layer contains both a substantially uniform thickness and a textured surface.

Art Unit: 1783

Claims 2 – 7, 9 and 10 are also rejected under 35 U.S.C. 112 1<sup>st</sup> paragraph due to their dependency on the above rejected claim.

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claims 1 7, 9 and 10 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The phrase "substantially uniform" in claim 1 is unclear, which renders the claim vague and indefinite. Claim 1 states that the thickness of the polymer layer is substantially uniform; while claim 3 states that the polymer layer has a textured surface. How can the polymer layer have a uniform thickness while having a textured surface?

Claims 2 – 7, 9 and 10 are also rejected under 35 U.S.C. 112 2nd paragraph due to their dependency on the above rejected claim.

## Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Art Unit: 1783

 Claim 12 is rejected under 35 U.S.C. 102(b) as being anticipated by DeRusha et al. (USPN 4.484.574).

DeRusha et al. discloses an adhesive tape (Abstract) comprising: a polymer layer having a thickness between 0.031" and 0.236" (Column 2, lines 14 – 23), the polymer layer defining a first side (Figure 1, #16); and a double sided adhesive layer where one side of the double sided adhesive layer <sup>1</sup> is in substantially continuous contact with the first side of the polymer layer (Figure 1, #12) and an opposing side of the double sided adhesive layer is disposed to adhere to ... the flooring environment (Column 3, lines 28 – 52; Figure 1, #12, wherein the adhesive can attach to any substrate surface, Column 5, lines 1 - 5); where the adhesive tape has a peel adhesion of 250 g/cm to 850 g/cm width, which meets the limitation of a peel adhesion greater than 2.0 lb/in width (2.0 lb/in width converts to 357 g/cm width) (Column 3, lines 41 – 52) as in claim 12.

As to the limitation of "adhesive layer is disposed to adhere to the flooring environment", the term disposed, as defined by Merriam-Webster's, means "to give a tendency to". Since the adhesive tape of DeRusha et al. meets the claim limitations, it would be capable of, or disposed to, adhere to a flooring environment.

<sup>&</sup>lt;sup>1</sup> The Examiner notes that any layer of adhesive has two sides, each side having adhesive properties. Therefore, the reference's disclosure of a layer of adhesive anticipates the claim limitation of a double sided adhesive layer.

Art Unit: 1783

#### Claim Rejections - 35 USC § 103

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all
  obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- Claims 1 3, 5, 7 and 9 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Johnston et al. (USPN 3,895,153).

Independent claims 1 and 11 will be addressed first.

As to claim 1, Johnston et al. discloses an adhesive article that can be formed into any shape, (Abstract; Column 8, lines 16 - 20) comprising a polymer layer having a Shore A Hardness of between about 60 and 95 (Figure 4, #18; Column 4, lines 51 - 55) and a substantially uniform thickness of 10 to 60 mils or 0.010" to 0.060" (Figure 4, #18; Column 5, lines 48 - 52); and a layer of adhesive attached to said polymer layer (Figure 6, #38).

As to claim 11, Johnston et al. also disclose an adhesive tape that can be cut into any shape including a narrow strip or band, (Abstract; Column 8, lines 16 - 20) comprising: a polymer layer having a Shore A Hardness of between about 60 and 95 (Column 4, lines 51 - 55); and a layer of pressure sensitive adhesive comprising a first side and an opposed second side (Figure 6, #38), the first side being in direct and uninterrupted contact with the polymer layer (Figure 6, #38) where the adhesive tape comprises an average thickness between 13.5 and 78 mils, since the backing sheet has a thickness of 1 to 5 mils (Column 4, lines 29 - 31) in combination with the primer layer having a thickness of 0.5 to 3 mils (Column 4, lines 45 - 47).

Art Unit: 1783

the copolymer layer having a thickness of 10 to 60 mils (Column 5, lines 48 - 52) and the adhesive layer having a thickness of 2 to 10 mils (Column 6, lines 11 - 13).

Johnston et al. differs from claims 1 and 11 in two ways. First, Johnston et al. fails to disclose an anticipatory example, or ranges that are sufficiently specific to anticipate the ranges of Shore A Hardness (claims 1 and 11), polymer layer thickness (claim 1) or overall tape thickness (claim 11). However, Johnson et al. teaches a range of Shore A Hardness of between about 60 and 95 (Column 4, lines 51-55) which overlaps the claim 1 and 11 range of between about 92 and 100. Johnston et al. teaches a polymer layer thickness of 0.010 to 0.060" which overlaps the claim 1 range of between about 0.020 and 0.065". Lastly, Johnston et al. teaches an overall thickness of 13.5 to 78 mils which overlaps the claim 11 range of between about 65 and 69 mils. Overlapping ranges have been held to establish *prima facie* obviousness. See MPEP 2144.05.

Therefore, it would have been obvious to one of ordinary skill in the art to have selected from the overlapping portion of the ranges of Shore A Hardness and thickness taught by Johnston et al. because overlapping ranges have been held to establish *prima facie* obviousness.

Second, Johnson et al. fail to specifically refer to its article as being an "adhesive tape."

Johnston et al. teaches that the article can be formed into any shape, (Abstract: Column 8, lines 16 - 20). The term "tape," as defined by Merriam-Webster's, means "a narrow flexible strip or band." And, It is well settled that a particular shape of a prior invention carries no patentable weight unless the applicant can demonstrate that the new shape provides significant unforeseen improvements to the invention. In the instant case, the application does not indicate any new,

Art Unit: 1783

significant attributes of the invention due to its shape which would have been unforeseen to one of ordinary skill in the art.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to change the shape to change the shape of the adhesive article to be in the form of a narrow strip or band. One skilled in the art would have been motivated to do so in order to change the appearance of the adhesive article. MPEP 2144.04 JV.

With regard to claim 2, the article contains a substrate attached to an outermost side of said layer of adhesive (Figure 6, # 39).

For claim 3, the polymer layer includes a textured surface (Figure 4, #18).

Regarding claim 5, the polymer layer includes coloring pigment (Column 5, lines 38 - 48).

As in claim 7, the adhesive comprises a rubberized double-sided tape (Column 3, lines 43 – 49, since the adhesive has adhesive qualities on the opposite sides of the layer, it reads upon a double side adhesive product).

With regard to claim 9, polymer layer has a Shore A Hardness of between about 60 and 95, thereby meeting the limitation of a Shore A Hardness of 93 and 97 (Column 4, lines 51 – 55). For claim 10, the adhesive is pressure sensitive (Column 5, lines 67 – 69).

 Claims 1 – 6, 9 and 10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Receves et al. (USPN 5,508,084).

Receves et al. discloses a repositionable article that can be cut into any shape, (Column 7, lines 34 – 36) comprising a polymer layer (Figure 2d, #19: Column 10, lines 21 – 29) having a

Art Unit: 1783

Shore A Hardness of between about 70 and 140 (Column 14, lines 25 – 29) and a substantially uniform thickness of between about 0.020" to 0.065" (Column 10, lines 30 – 35) and a layer of adhesive attached to said polymer layer (Figure 2d, #13; Column 12, lines 30 – 44).

Reeves et al. differs from claim 1 in two ways. First, Reeves et al. fails to disclose an anticipatory example, or ranges that are sufficiently specific to anticipate the claim 1 range of Shore A Hardness. However, Johnson et al. teaches a range of Shore A Hardness of between about 70 and 140 (Column 14, lines 25 – 29) which overlaps the claim 1 range. Overlapping ranges have been held to establish *prima facie* obviousness. See MPEP 2144.05.

Therefore, it would have been obvious to one of ordinary skill in the art to have selected from the overlapping portion of the ranges of Shore A Hardness taught by Reeves et al. because overlapping ranges have been held to establish *prima facie* obviousness.

Second, Reeves et al. fails to specifically refer to its article as being an "adhesive tape."

Reeves et al. teaches that the article can be formed into any shape, (Column 7, lines 34 – 36).

The term "tape," as defined by Merriam-Webster's, means "a narrow flexible strip or band." It is well settled that a particular shape of a prior invention carries no patentable weight unless the applicant can demonstrate that the new shape provides significant unforeseen improvements to the invention. In the instant case, the application does not indicate any new, significant attributes of the invention due to its shape which would have been unforeseen to one of ordinary skill in the art.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to change the shape to change the shape of the adhesive article to be in the form of a

Art Unit: 1783

narrow strip or band. One skilled in the art would have been motivated to do so in order to change the appearance of the adhesive article. MPEP 2144.04 IV.

With regard to claim 2, the article contains a substrate attached to an outermost side of said layer of adhesive (Column 13, lines 10 – 15).

For claim 3, the polymer layer includes a textured surface (Figure 2d; Column 12, lines 16 - 23).

With regard to claim 4, the polymer layer is comprised of a polyvinyl chloride (Column 13, lines 28 – 36).

Regarding claim 5, the polymer layer includes coloring pigment (Column 12, lines 25 – 31).

As in claim 6, the polyvinyl chloride comprises a clear polymer (Column 12, lines 25 – 31).

With regard to claim 9, polymer layer has a Shore A Hardness of between about 70 and 140, which overlaps the limitation of a Shore A Hardness of 93 and 97 (Column 14, lines 25 – 29).

For claim 10, the adhesive is pressure sensitive (Column 8, lines 9 – 13). However, Reeves et al. fail to disclose that the adhesive article is specifically an adhesive tape.

 Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hornibrook et al. (USPN 4,248,762).

Hornibrook et al. disclose a pressure sensitive product (Column 1, lines 10 – 13) comprising: a polymer layer having a thickness between 0.002" and 0.020", thereby overlapping

Art Unit: 1783

the thickness limitation of 0.020" and 0.065" (Column 2, lines 1 – 15), the polymer layer defining a first side (Figure 1, #1); and a double sided adhesive layer where one side of the double sided adhesive layer is in substantially continuous contact with the first side of the polymer layer (Column 2, lines 16 – 39; Figure 2, #2) and an opposing side of the double sided adhesive layer is disposed to adhere to the flooring environment (Column 2, lines 16 – 39; Figure 2, #2); where the adhesive tape has a peel adhesion of 7.5 pounds per linear inch, which meets the limitations of a peel adhesion greater than 2.0 lb/in width (Column 5, lines 1 – 3) as in claim 12.

Hornibrook et al. differs from claim 12 in two ways. First, Hornibrook et al. fails to disclose an anticipatory example, or ranges that are sufficiently specific to anticipate the claim 12 range of thickness of 0.020 to 0.065". However, Hornibrook et al. teaches a range of thickness of 0.002" and 0.020" (Column 2, lines 1 – 15), which overlaps the claim 12 range of between 0.020 and 0.065". Overlapping ranges have been held to establish *prima facie* obviousness. See MPEP 2144.05.

Therefore, it would have been obvious to one of ordinary skill in the art to have selected from the overlapping portion of the ranges of Shore A Hardness taught by Reeves et al. because overlapping ranges have been held to establish *prima facie* obviousness.

Second, Hornibrook et al. fail to disclose that the adhesive article is specifically an adhesive tape.

The term tape, as defined by <u>Merriam-Webster's</u>, means "a narrow flexible strip or band". It is well settled that a particular shape of a prior invention carries no patentable weight unless the applicant can demonstrate that the new shape provides significant unforeseen

Application/Control Number: 10/674,108

Art Unit: 1783

improvements to the invention. In the instant case, the application does not indicate any new, significant attributes of the invention due to its shape which would have been unforeseen to one of ordinary skill in the art.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to change the shape of the adhesive article to be in the form of a narrow strip or band. One skilled in the art would have been motivated to do so in order to change the appearance of the adhesive article. MPEP 2144.04 IV.

As to the limitation of "adhesive layer is disposed to adhere to the flooring environment", the term disposed, as defined by <a href="Merriam-Webster's">Merriam-Webster's</a>, means "to give a tendency to". Since the adhesive product of Hornibrook et al. meets the claim limitations, it would be canable of, or disposed to, adhere to a flooring environment.

# Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patricia L. Nordmeyer whose telephone number is (571)272-1496. The examiner can normally be reached on Mon.-Fri. from 10:00-6:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David R. Sample can be reached on (571) 272-1376. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/674,108

Art Unit: 1783

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Patricia L. Nordmeyer Primary Examiner Art Unit 1783

/Patricia L. Nordmeyer/ Primary Examiner, Art Unit 1783

/David R. Sample/ Supervisory Patent Examiner, Art Unit 1783

/Sharon A. Gibson/ Director, Technology Center 1700

# Notice of References Cited

Application/Control No.

Applicant(s)/Patent Under Reexamination
GOECKE, THOMAS R.

Examiner
Patricia L, Nordmeyer
1783
Page 1 of 1

# U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-3,895,153	07-1975	Johnston et al.	428/141,
*	В	US-4,248,762	02-1981	Hornibrook et al.	524/441
*	С	US-4,484,574	11-1984	DeRusha et al.	602/75
*	D	US-5,508,084	04-1996	Reeves et al.	428/172
	E	US-			
	F	US-			
	G	US-			
	Н	US-			
	1	US-			
_	J	US-			
	ĸ	US-			
	L	US-			
	м	us-			

# FOREIGN PATENT DOCUMENTS

				OKEIGN PATENT DOC	UNENTS	
*		Document Number Country Cade-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	0			11		
	R					
	s					
	Т					

# NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)		
	υ	Merriam-Webster's Collegiale Dictionary, 1996, Merriam-Webster, Incorporated, Tenth Edition, pages 335 and 1205.		
	v			
	w			
	×			

A copy of this reference is not being turnished with this Office action. [See MPEP § 707.05(a) )
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign

# ATTORNEY DOCKET NO. 5923.0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Goecke

TITLE : Adhesive Tape

SERIAL NO. : 10/674,108

FILING DATE : September 29, 2003

ART UNIT : 1788

CONFIRMATION NO. : 2438

ATTORNEY DOCKET NO. : 5923.0001

# Exhibit D

DeRusha U.S. Patent 4,484,574

# United States Patent [19] DeRusha et al. 1541 SELE BOLLED FOAM TARE WITHOUT

[11]	Patent Number:
[45]	Date of Potents

4.163,822 8/1979 Walter ....

3,649,436 3/1972 Buese .....

Primary Examiner-William J. Van Balen Attorney, Agent, or Firm-Knobbe, Martens, Olson &

4,021,001 5/1977 Sproat ...... 428/317.7

4,251,584 2/1981 van Engelen et al. ...... 428/343 4,341,209 7/1982 Schaar .....

4,404,246 9/1983 Charbonneau et al. ....... 428/317.3 FOREIGN PATENT DOCUMENTS 1232358 6/1969 United Kingdom ...... 428/906

4,484,574 Nov. 27, 1984

428/317.3

..... 428/355

..... 128/156

[54]		LAYER AND METHOD OF SAME
[75]	Inventors:	Mark A. DeRusha, El Toro; Thomas E. Schultz, Laguna Niguel; Stephen W. Luchio, Riverside, all of Calif.
[73]	Assignee:	Keene Corporation, New York, N.Y.
[21]	Appl. No.:	573,854
[22]	Filed:	Jan. 25, 1984
[51]	Int, Cl.3	A61L 15/00; B32B 1/08; B32B 7/06; B32B 7/12
[52]		128/156; 128/169;
		28/170; 156/230; 156/238; 156/272.6;
		428/40; 428/214; 428/215; 428/314.4; 428/317.3; 428/343; 428/355; 428/906
[58]		rch 428/40, 41, 42, 314.4,
Loui		8, 317.3, 317.7, 352, 355, 906, 213, 214,
		343; 128/156, 169, 170; 156/230, 238,
		272.6, 324

References Cited

U.S. PATENT DOCUMENTS

2,458,166 1/1949 Homeyer, Jr. ...... 428/352 

[56]

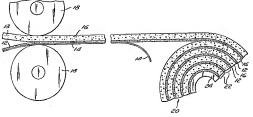
Bear [57]

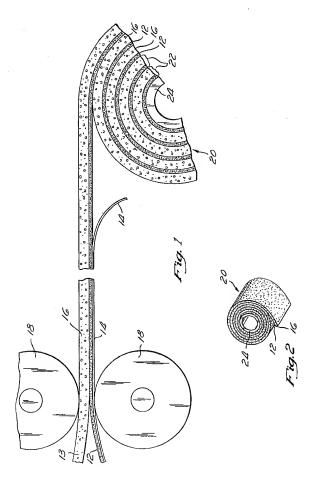
A pressure-sensitive tape having a closed-cell polymer foam backing strip and a pressure-sensitive adhesive is disclosed. The tape is formed into a roll so that the adhesive of one layer is in direct physical contact with the foam of the next layer, without the use of release paper or release coatings. The tape may be unwound without significantly disrupting either the adhesive layer or the foam layer. The foam is preferably a closedcell cross-linked polyethylene copolymer and the adhesive is preferably a hypoallergenic acrylic-based adhe-

ABSTRACT

18 Claims, 2 Drawing Figures

# 





## SELF-ROLLED FOAM TAPE WITHOUT RELEASE LAYER AND METHOD OF MAKING SAME

# BACKGROUND OF THE INVENTION

This invention relates to a foam tape which may be used, e.g., as a bandage hold down or an athletic wrap. In particular, the invention relates to a foam tape having a pressure-sensitive adhesive on one side, which can be formed into a roll and unrolled without disrupting the 10 relatively easily from the back side of the tape without non-adhesive side of the tane or the adhesive and without the use of release paper or a release coating.

The use of adhesive tape in the treatment and prevention of sports injuries is well known. In general, the tape used is a cloth-backed tape, having a pressure-sensitive 15 adhesive on one side thereof. The tape is generally porous and is supplied in roll form. This cloth-backed tape is particularly valuable for wrapping joints to prevent their movement. The tape has no appreciable stretch and, when wrapped several layers deep, it forms 20 a hard, unyielding armour about the wrapped part.

However, conventional cloth-backed adhesive tane is not desirable for applications where limited movement of a joint is desired. Such tape can actually cut the skin when used for such applications, because of the unyield- 25 ing nature of the material.

The use of stretchable foam material as a wrap for a limb is illustrated in U.S. Pat. No. 2,740,402 to W. M. Scholl. This patent discloses a bandage made of porous latex foam which may be coated with a pressure-sensi- 30 tive adhesive. However, such a bandage would be unlikely to fine wide-spread use in modern day training rooms, because it cannot be self-rolled. The patent recognizes that if the bandage is wrapped upon itself, the adhesive surface and possibly the non-adhesive surface 35 would be disrupted in an attempt to unwrap the bandage. Accordingly, a release paper applied to the adhesive side of the bandage would be necessary in order to roll the tape for shipping. Release paper, however, would be a nuisance in the training room, and the ban- 40 dage could not be applied directly from the roll to an object to be wrapped without removing the release paper.

An alternative to release paper is a release coating on the back side of the tape itself. Such coatings are illus- 45 ing to the invention. trated in U.S. Pat. No. 2,458,166 to Homeyer, Jr., and U.S. Pat. No. 3,066,043 to Hechtman et al. The use of a release coating, however, is undesirable, both because of the added expense associated with applying the release layer to the tape in the manufacturing process and 50 because there would be inadequate adhesion between successive layers of release-coated tape when used as an athletic wrap.

Schaar, in U.S. Pat. No. 4.341.209 discloses a backing sheet made of polyethylene foam for pressure-sensitive 55 upon unrolling. adhesive finger bandages. Finger bandages are, typically, supplied with a release paper covering the adhesive side of the bandage.

Furthermore, the use of closed-cell polymer foam adhesive strips for weather stripping and insulating is 60 and must be capable of being formed into sheets of 6 well known. However, to the Applicants' knowledge, none of the foregoing are capable of being self-rolled without the use of release paper.

#### SUMMARY OF THE INVENTION

In accordance with the present invention, there is provided a pressure-sensitive adhesive tape, comprising a web of closed-cell polymer foam material having a

front side and a back side, the web being wound into a multilayered roll so that the front side is adjacent to the back side in successive windings. A layer of pressuresensitive adhesive is located between and in direct contact with the adjacent front and back sides of the foam in the roll. The adhesive is releasable attached to the back side and permanently attached to the front side of the foam. Thus, upon unwinding, the pressure-sensitive adhesive coated front side of the tape separates disrupting either the adhesive layer or the back side of the foam, with which the adhesive on the front side of the foam was in direct physical contact.

In a preferred embodiment, the tape is a copolymer polyethylene foam having a hypoallergenic acrylicbased adhesive on one side. The thickness of the foam is between about 0.8 mm and about 6 mm, preferably between about I mm and about 3 mm, and most preferably about 1.6 mm (1/16 inches). The tape may be from about 12 mm to about 200 mm wide, preferably from about 20 mm to about 130 mm wide, and most preferably between about 24 mm and about 60 mm wide.

The present invention provides a lightweight selfrolled tape that provides support without restraint. It is particularly suitable for athletic applications where some flexibility of the wrapped member is desired. Unlike conventional adhesive tape, the present tape (which is capable of significant elongation) bends easily around complex or compound curves and does not cut the underlying flesh in use. The insulating properties of the tape permit retention of natural body heat.

The cellular structure of the tape also provides shock absorption properties. Injured members are prevented from touching other objects. The tape absorbs both sharp and dull impact, and rebounds for continuous absorption. The tape is waterproof and, because of its reversible self-winding nature, may be unwrapped (rather than cut) for removal.

# BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic representation of the process of manufacturing the foam tape.

FIG. 2 is a perspective view of a roll of tape accord-

# DETAILED DESCRIPTION OF PREFERRED **EMBODIMENTS**

The two basic components of the tape of the present invention are the closed-cell polymer foam backing layer and the adhesive layer. The characteristics of the foam and the adhesive must be carefully matched, in order to provide a tape that may be self-rolled without significant damage to the foam or the adhesive laver

The foam may be closed-cell polymer foam material having suitable characteristics, such as homopolymers and copolymers of polyethylene, polyurethane, or any of the vinvl-based polymers. The foam must be flexible mm thickness or less having a tensile strength (in a foam of 2 mm thickness) of at least 100 g/cm width of tape. Elasticity is also an important property. The foam tape in the desired thickness must be capable of at least 50% 65 elongation, and preferably at least 250%.

Foams containing migratory substances are generally unsuitable. Such substances tend to affect the properties of the adhesive. In addition, they reduce the shelf-life of the product and may not be suitable for prolonged contact with the skin. It is also desirable that the foam have an internal cellular structure and that the top and bottom surfaces of the foam exhibit continuous closed cell membranes. The membranes inhibit dirt or other 5 substances from becoming Ingrained into the foam and are also important in insuring that the self-rolled foam tape may be unrolled without significant disruption of the foam or the adhesive.

One preferred foam material is polyethylene homo- 10 foam, adhesive and release paper are then unrolled. polymer or copolymer. A suitable smooth-surfaced polyethylene copolymer foam sheet product is marketed by Voltek, Inc. under the trademark "VOLARA." VOLARA Type E foam is particularly preferred. Type E VOLARA is closed-cell foamed 15 polyethylene-vinyl acetate copolymer that has been irradiation cross-linked. Its closed-cell structure is often called "fine celled". In a 1.6 mm thickness, it has a tensile strength of 620 g/cm width in tape form and may be elongated 250%. VOLARA is hypoallergenic and 20 does not contain migratory plasticizers. Another suitable foam is a cross-linked polyethylene marketed by the Frelen Corporation under the trademark FRELEN XLPE. Closed-cell, foams, such as those marketed by 3-M Corporation, Compo Industries, E.A.R. Corpora- 25 tion, and Monosol, are also suitable, as are Uniroval's polyvinylchloride-nitrile rubber foams.

The adhesive must be capable of adhering securely to one side of the foam and yet, when cured, must releasably adhere to the other side of the foam. This property 30 permits the tape according to the invention to be selfrolled without the use of release paper or release coatings so that the tape may be self-rolled. Certain acrylic. rubber, urethane, and silicone based adhesives are suitstrengh of, for example, 1 kg/6.25 cm2 for 167 hours. The viscosity of the adhesive prior to application to the foam will be 500-20,000 centipoises. An even more important determinant of suitability is the cohesive required if the tape is to be reversibly self-rolled. The adhesive should have a peel strength (as measured by Pressure Sensitive Tape Council adhesion test method 1 (PSTC-1) of 250 g/cm width to 850 g/cm width at 1 mil Crosslinked acrylic adhesives are particularly preferred. It is highly desirable that the adhesive be hypoallergenic. One suitable acrylic-based adhesive is National Adhesives DURO-TAK TM 80-1054, Another is Monsanto 737. Still another is Ashland's ARO- 50 SET TM 1910. The adhesive is preferably dispersed in an aqueous or hydrocarbon vehicle.

FIG. I illustrates in part the preferred process for making the foam tape according to the present invention. A layer of suitable adhesive 12 is spread on a re- 55 lease paper 14 by any suitable apparatus, such as a knife over a roll, a knife over a fixed rod or bed, or a Meyer rod. Any suitable release paper may be used, although a doublesided silicone-treated release paper such as H. P. Smith 8054 POLYSLIK TM is preferred. The vehicle is 60 then flashed off by the application of heat to leave a uniform layer of adhesive 12 on the release paper 14. This is preferably done in a zoned air-circulating oven having a final temperature of between about 95° C. and about 135° C., and preferably about 120° C. The adhe- 65 that the adhesive side of one layer of tape is in direct sive layer is between 0.5 and 2.2 mils thick, and is preferably about I mil thick. The adhesive-coated side of release paper 14 is then mated with the front side 13 of

a sheet of foam 16 between the pinch rollers 18 at ambient temperature. Optionally, the foam has been previously treated by a conventional corona discharge process (≤46 dyne) to enhance adhesive bonding. A threelayer sandwich of foam 16, adhesive 12, and release paper 14 results. In a preferred embodiment the foam, adhesive, and release paper sandwich is self-rolled and stored for a period of time sufficient to allow the adhesive to set, generally for a minimum of 24 hours. The

As is shown in FIG. 1, the release paper is removed. leaving the adhesive layer 12 permanently affixed to the foam 16. The resulting pressure-sensitive foam tape is then slit to the desired width and self-rolled (without tension) into a multi-layered roll 20 having a plurality of layers 22. Each layer 22 comprises a layer of foam 16 and a layer of adhesive 12. Except for the innermost layer, each layer of adhesive 12 is disposed between two layers of foam 16. In the roll, adhesive 12 is permanently affixed to the front side 13 of the adjacent covering layer of foam and is releasably adhered to the back side 24 of the supporting layer of foam.

The completed product is illustrated in FIG. 2, which is a roll 20 of pressure-sensitive adhesive tape having a foam layer 16 and an adhesive layer 12, in which the adhesive side of each layer is in direct physical contact with the foam material of the underlying layer. The roll is formed around a cylindrical core 24. Because no release coatings or backing papers are used, the adhesive side of each layer is releasably attached directly to the form of the underlying tape.

The tape of the present invention may be used in several ways in industrial, veterinary, athletic, and medical applications. For example, the tape may be used as able. A suitable adhesive will have an adhesive shear 35 a protective padding over sterile dressings. It is useful as a wrap about the limb of a mammal to prevent and treat injuries. It may be used to pad fingers, foreheads, bruises, and tendons. It may also be used to pad splints and casts externally, to minimize the effect of jolts and strength of the adhesive. A relatively "hard" adhesive is 40 shocks, and may even be used in certain circumstances as a soft cast for a broken bone. Because the tape can be reversably self-rolled, multiple wrappings of tape may be removed without cutting the tape.

The tape may also be applied to any surface to proadhesive thickness, and preferably about 550 g/cm. 45 vide padding. Grips, handles, and sharp surfaces may advantageously be covered. It also has utility as a metalto-metal gasket.

#### EXAMPLE 1

A sheet of VOLARA type E polyethylene copolymer foam approximately 140 cm wide and 1.6 mm thick is conventionally treated with a 50 dyne corona discharge. A layer of Ashland's AROSET 1910 adhesive is applied with a knife to a sheet of double-sided silicone treated release paper. The release paper and adhesive then pass through a zoned, heated, air-circulating oven to remove the hydrocarbon vehicle from the adhesive. The final oven temperature is 120° C. The adhesivecoated side of the release paper is next mated with the corona-treated side of the foam. The foam-adhesiverelease paper sandwich is then self-rolled. After 24 hours the release paper is removed and the tape is cut into 50 mm wide strips and self-wound on a polyethylene core. The resulting tape is disposed on the roll so physical contact with the foam backing of the adjacent layer. The foam tape may be unwound without significant disruption of either the adhesive layer or the foam layer. No significant amount of adhesive remains on the

foam side of the tape. The resulting tape is strong, light, shock-absorbant and adheres strongly but releasably to virtually any clean, dry surface including glass, metal, fabric, plaster casts, and human skin.

# **EXAMPLE 2**

A strip of tape 50 mm wide, prepared according to Example 1, is wrapped around the limb of a mammal and over an ankle joint in multiple overlapping layers. 10 The resulting wrap permits some movement of the ankle while providing support. The wrapping also cushions the wrapped portion against blows and significantly reduces the possibility of bruising and cartilage, tendon, and ligament injury.

Although this invention has been described in terms of certain preferred embodiments, other embodiments that are apparent to those of ordinary skill in the art are also within the scope of this invention. Accordingly, the 20 and about 850 g/cm width at 1 mil adhesive thickness. scope of the invention is intended to be limited only by the appended claims.

What is claimed is:

1. A pressure-sensitive adhesive tape, comprising: a web of closed-cell polymer foam material having a 25 polyethylene. front side and a back side:

said web being wound into a multi-layered roll so that said front side is adjacent to said back side in successive windings:

tween and in direct contact with said adjacent front and back sides of said foam:

said adhesive being releasably attached to said back side and permanently attached to said front side.

The tape of claim 1, wherein said adhesive is hy- 35 contact with the foam of an adjacent layer.

3. The tape of claim 2, wherein said adhesive is an acrylic adhesive.

4. The tape of claim 2, wherein said polymer is po-

5. The tape of claim 4, wherein said foam is a polyethylene copolymer and said front and said back sides are substantially smooth,

6. The tape of claim 4, having a thickness of between 45 about 0.8 mm and about 6 mm and a width of between about 12 mm and about 200 mm.

7. The tape of claim 6, wherein said adhesive is between about 0.5 and about 2.2 mils thick and has a PSTC-1 peel strength between about 250 g/cm width 50 and about 850 g/cm width at I mil adhesive thickness.

8. The tape of claim 6, wherein said adhesive has a PSTC-1 peel strength of about 550 g/cm width at 1 mil adhesive thickness.

9. The tape of claim 4, having a thickness of between about 1 mm and about 3 mm and a width of between about 20 mm and 200 mm.

10. An article of manufacture, comprising

a web of closed-cell polyethylene foam material having a front side and a back side;

a layer of pressure-sensitive adhesive permanently affixed to said front side:

said adhesive layer being releasably attachable to said back side of said foam to permit the front side of one part of said web to be adhesively attached to the back side of another part of said web and then separated without substantially disrupting said back side.

11. The article of claim 10, wherein said adhesive is hypoallergenic.

12. The article of claim 10, wherein said adhesive is between about 0.5 and about 2.2 mils thick and has a PSTC-1 peel strength between about 250 g/cm width

The article of claim 12, wherein said adhesive has a PSTC-1 peel strength of about 550 g/cm width at 1

mil adhesive thickness. 14. The article of claim 11, wherein said polymer is

15. The article of claim 11, wherein said adhesive is an acrylic adhesive.

16. The article of claim 10, wherein said article is formed into a roll having successive layers and the a layer of pressure-sensitive adhesive disposed be- 30 adhesive of one layer is directly and releasably adhered to the foam of another layer.

17. The article of claim 11, wherein said article is a bandage applied to a limb of a mammal in overlapping layers, the adhesive of one layer being in direct physical

18. A process for making a self-rolled pressure-sensitive adhesive form tape, comprising the steps of:

subjecting a closed-cell polymer foam web to corona discharge; applying a layer of pressure-sensitive adhesive to a

release paper; mating the foam web to the adhesive;

forming the foam web, the adhesive, and the release paper into a multi-layered roll;

unrolling the web, the adhesive, and the release paper:

removing the release paper, leaving a pressure-sensitive adhesive tape having a foam backing side and an adhesive side: and

self-rolling the pressure-sensitive adhesive tape into a multi-layered roll so that the adhesive side of one layer is in direct physical contact with the foam side of an adjoining layer.

60

# ATTORNEY DOCKET NO. 5923.0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Goecke

TITLE : Adhesive Tape

SERIAL NO. : 10/674,108

FILING DATE : September 29, 2003

ART UNIT : 1788

CONFIRMATION NO. : 2438

ATTORNEY DOCKET NO. : 5923.0001

# Exhibit E

Johnston U.S. Patent 3,985,153

Johnston et al.

3.616.191

[45] July 15, 1975

[54]	FRICTION	N-SURFACE SHEET	
[75]	Inventors:	Manley R. Johnston, St. Paul; Roger P. Goeppinger, N. St. Paul, both of Minn.	
[73]	Assignee:	Minnesota Mining and Manufacturing Company, St. Paul, Minn.	
[22]	Filed:	Oct. 5, 1973	
[21]	Appl. No.:	403,827	
[52]	U.S. Cl		
[51]	Int. Cl	B32b 3/26	
[58]		arch 161/116, 231, 252, 253,	
	161/2:	56, DIG. 3, 255; 117/8, 10; 264/284;	
		156/209, 220	
[56]		References Cited	
	UNIT	TED STATES PATENTS	
3,030,		52 Bore et al 161/116	
3,484,	835 12/196	59 Trounstine et al 264/284	

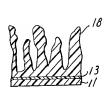
10/1971 Fuerholzer et al...... 161/252

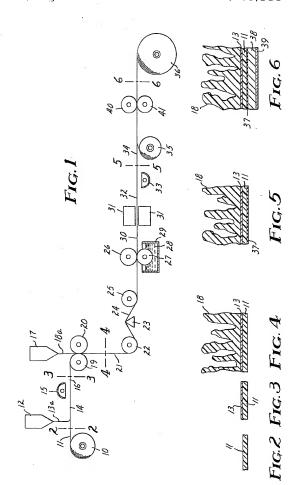
Primary Examiner—George F. Lesmes
Assistant Examiner—Stanley S. Silverman
Attorney, Agent, or Firm—Alexander, Sell, Steldt and
DeLaHunt

# [57] ABSTRACT

A flat, backing layer of uniform thickness of biaxially oriented, heat set polyethylene terephthalate having adherently bonded to its upper surface a textured. tough, scuff-resistant, weather-resistant layer of certain thermoplastic ethylenic copolymers, and a normally tacky pressure-sensitive adhesive layer adherently bonded to its lower surface, provides a frictionsurface sheet for use on stairs, in bath tubs and showers, and on other surfaces which may be inherently undesirably slippery. The friction-surface sheet is made by coating a thin, ultra-violet light transmissive self-sustaining layer of a coherent film forming thermoplastic polymer onto the polyethylene terephthalate layer, irradiating the interface between the layers to cause adherent bonding, coating the ethylenic copolymer on top of the polymer layer by melt fusion, and embossing the copolymer layer to provide the textured surface. Pressure-sensitive adhesive is then applied to the lower surface of the sheet to facilitate mounting thereof on any of a variety of substrates.

6 Claims, 6 Drawing Figures





#### FRICTION-SURFACE SHEET

# BACKGROUND OF THE INVENTION

This invention relates to friction-surface sheet mate-

Typically, present friction-surface or non-skid sheet materials are made by adhering granules to resinimpregnated fabric backing or plastic film by means of adhesive which is usually pigmented and overcoats the granules to provide a pleasing surface, both esthetically 10 pressure-sensitive adhesive layer adherently bonded to and underfoot. Examples of such products may be seen in U.S. Pat. Nos. Re.25,788, 3,030,251, 3,578,550, 3.030,223 and British Pat. No. 971,741. While such products have seen considerable commercial success. they have certain inadequacies which the present in- 15 onto the polyethylene terephthalate layer, irradiating vention eliminates.

Since these prior art sheet materials are all formed by depositing granules on a backing sheet and overcoating the granules with adhesive, the resultant product is inthe adhesive covered granules. Such air pockets will rupture, providing sites for bacteria to accumulate and flourish, staining the sheet and creating a health hazard. Additionally, while seemingly simple to produce, the friction-surface sheet material containing granules 25 mounting thereof to any of a variety of substrates. requires extremely careful coating conditions and particle size control, else a non-uniform surface product will be produced. Furthermore, while these prior art granule-containing sheets at first appear attractive, they may lose their attractive uniform surface as their 30 upper surface of pigmented adhesive is abraded away during use, exposing the granules contained within, which are usually not the same color.

While, at first appearance, an easy solution to the inadequacies discussed above would seem to be to simply 35 emboss a thermoplastic sheet to impart a friction surface, attempts to produce such a sheet have yielded inferior products. Embossing an unsupported layer of a tough scuff-resistant thermoplastic resin, however, results in a product which lacks dimensional stability and 40 FIG. 1: and easily distorts in use. Laminating a layer of embossable thermoplastic resin to a dimensionally stable backing such as heat-set, biaxially oriented polyethylene terephthalate is difficult because of the latter's nonadherent surface, which virtually defies permanent adhesion thereto by resins having the desired properties for the embossed layer. Products have been made by interposing a normally tacky and pressure-sensitive adhesive layer between the backing layer and the embossed upper layer, but these are expensive to make and eventually delaminate in use or upon subsequent removal from a substrate after use, leaving a difficult to remove residue.

Despite the fact that friction-surface sheets have 55 been known for more than a decade, no adequate solution has been provided for the inadequacies set forth above, prior to the present invention.

### SUMMARY

The present invention provides a friction-surface sheet which can be rapidly and economically produced in large quantities, without the use of granules and without the special coating techniques associated therewith. The sheet has the desired frictional characteristic, dimensional stability, abrasion resistance, moisture resistance, tear resistance, and crack resistance for the use described herein, combined with ade-

quate elongation, stretchability, and deformability to provide a long-lasting, effective, frictional surface sheet under virtually all climatic conditions.

The friction-surface sheet of the invention is com-5 prised of a flat layer of biaxially oriented, heat-set polyethylene terephthalate of uniform thickness and having adherently bonded to its upper surface an embossed, textured, tough, scuff-resistant, weather-resistant layer of certain thermoplastic ethylenic copolymers, and a its lower surface.

The friction-surface sheet can be made by coating a thin, ultra-violet light transmissive, self-sustaining, coherent layer of film-forming thermoplastic polymer the layer interface with ultra-violet light for a time and at an intensity sufficient to create an adherent bond between the layers, coating molten ethylenic copolymer on top of the polymer layer to provide an embossable herently subject to the formation of air pockets among 20 layer, and embossing the copolymer layer to impart the desired textured surface. Other ways of obtaining this laminated structure will also be disclosed. Normally tacky and pressure-sensitive adhesive is applied to the lower surface of the sheet thus described to facilitate

# BRIEF DESCRIPTION OF THE DRAWING

Understanding of the invention will be facilitated by referring to the accompanying drawing, wherein:

FIG. 1 is a schematic representation of the presently preferred method of preparing the friction-surface sheet of the invention:

FIG. 2 is a cross sectional view taken at line 2-2 of FIG. 1: FIG. 3 is a cross sectional view taken at line 3-3 of

FIG. 1; FIG. 4 is a cross sectional view taken at line 4-4 of FIG. 1:

FIG. 5 is a cross sectional view taken at line 5-5 of

FIG. 6 is a cross sectional view showing the frictionsurface sheet of the invention and is taken at line 6-6 of FIG. 1.

In accordance with the invention and as depicted in FIGS. 2-6, flat, biaxially oriented, heat-set polyethylene terephthalate film 11 of substantially uniform thickness is adherently bonded to thermoplastic polymer layer 13 which is adherently bonded to embossed layer 18 formed of a tough, flexible, scuff-resistant, weather-resistant, thermoplastic ethylenic copolymer. The opposite surface of polyethylene terephthalate film 11 is adherently bonded to normally tacky and pressure-sensitive adhesive layer 38 which may be protected prior to use by a suitable release liner 39. A prime coating 37 of rubber may be desired when rubber-base adhesive compositions are used as the pressure-sensitive layer,

### PRESENTLY PREFERRED EMBODIMENT

As shown in FIG. 1, the friction-surface sheet material is prepared by first coating biaxially oriented heatset polyethylene terephthalate film 11 obtained from supply roll 10. An ultra-violet light transmissive selfsustaining, coherent layer of thermoplastic polymer primer is extruded as a molten sheet 13a from extruder 12 onto the upper surface of film 11 to provide coated film 14. Coated film 14 is irradiated by ultra-violet light source 15 through the coating at an intensity and for a time sufficient to cause adherent bonding between the coating and the polyethylene terephthalate film to produce primed laminate 16. (Further description of ultraviolet light coating techniques may be found in U.S. 5 Pat. No. 3,188,266, incorporated herein by reference.) Molten copolymer as sheet 18a is extruded from extruder 17 onto the primed surface as the freshly coated composite film is simultaneously passed between embossing roll 20 and backup roll 19 to produce em- 10 bossed film 21. After hardening, film 21 is passed under tension over decurling edge 23 between idler rolls 22 and 25 to make the textured surface convex. Decurled laminate 24 is then primed on its lower surface by roll coating a rubber/solvent priming solution 28 contained 15 in vessel 29 with coater roll 27 which operates in opposition to backup roll 26. Rubber-coated composite film 30 is then dried of solvent at drying station 31 by use of either a circulating air source, oven, hot can dryer, or a combination thereof, providing rubber coated lam- 20 inate 32. Laminate 32 is exposed to a second ultraviolet light source 33 through the rubber coat and irradiated therewith to cause a permanent adherent bond between the rubber layer and the polyethylene terephthalate film surface, providing composite film 34. 25 Pressure-sensitive adhesive, as a self-supporting film (carried on a suitable release liner to prevent blocking) is then dispensed from roll 35 so that its exposed adhesive surface contacts the rubber-primed surface and this composite is passed between nip rolls 40 and 41 to 30 consolidate the layers into an integral sheet which is wound as roll 36 for storage.

FIGS. 2-6 show the various stages of formation of the laminate formed by the process of FIG. 1. It is, of 35 course, understood that the process may also be accomplished in individual steps wherein, after each process step which produces a handleable intermediate, the intermediate may be stored, and thereafter completed. For example, it may be desired to do some of the process steps at one location and others at another

Although the presently preferred embodiment specifies rubber-base normally tacky and pressure-sensitive adhesive applied over an initial prime coat, that is not the only type normally tacky adhesive which can be used. Other normally tacky and pressure-sensitive adhesives useful in the present invention will be exemplified and illustrated hereinaffer.

Other ways of forming the friction-surface sheet material of the invention are possible and within the scope
of the invention. For example, the polyethylene terephthalate and the copolymer layer may be coextruded
to provide an integral composite film which can subsequently be biaxially oriented and heat-set. Additional
copolymer is then coated on the composite's copolyfor embossing as described above. Thereafter, adhesive is applied to the unembossed lower surface by
the method disclosed above or by conventional adhesive cauting techniques.

Coextrusion is a well known process wherein layers of thermoplastic materials are brought into contact while they are still molten. Formation and adhering of the layers takes place inside or inmediately outside the 65 extruding die, forming an integral composite. The composite film is thereafter endowed with improved physical properties by biaxial orientation and heat-setting.

Biaxial orientation, as is also well known, involves stretching the film in two directions normal to each other, generally in the machine direction and at right angles thereto. In a typical operation, the freshly formed molten composite film is fed onto a cooling drum to produce a quenched amorphous film, which is briefly heated and stretched in the machine direction, and then conducted through a tenter frame where it is stretched transversely with moderate heating. Machine direction stretching may be accomplished by passing between two sets of nip rolls the second set rotating at a higher speed than the first. Stretching typically increases the film area by a factor of at least six, the stretching usually being equal in each direction.

Heat-setting, or heat stabilization, of the stretched composite film is accomplished by restraining the film at its stretched dimension and heating briefly, then quenching. Such heating is typically in the range of 175°–240°C.

# DETAILED DESCRIPTION

The backing sheat of the friction-surface sheat material of the invention is, as previously discussed, formed of biaxially oriented, heat-set polyethylene terephthalate. Such a material is well known and commercially available under the trade designations "Mylar" and "Scotch Par". This film is noted for its toughness, dimensional stability and inertness under a wide variety of conditions. For the invention, it has been found useful to use such film at thicknesses on the order of 1 to 5 mils. Film widths will vary depending upon the processing equipment, typically between 24 and 60 inches.

The priming polymer coated on the upper surface of the polyethylene terephthalate film is a film-forming thermoplastic capable of being formed into highly coherent, self-sustaining film which is transmissive to ultra-violet light, capable of forming an adherent bond with the polyethylene terephthalate film under the influence of ultra-violet irradiation, and capable of forming an adherent bond with the upper copolymer layer discussed hereinafter by melt fusion. The priming polymer layer should be thin enough to permit irradiation through its thickness, not contain ultra-violet light absorbing fillers, and be sufficiently coherent to resist cohesive failure when used as herein disclosed. Typically, the prime layer will be on the order of 0.5 to 3 mils thick. Suitable polymeric materials found useful for the prime layer include polymers of ethylene such as polyethylene and ethylene ethyl acrylate and ethylene vinyl acetate copolymers.

The upper, embossed layer is of an ethylene copolymer which is tough, scuff-resistant, moisture-resistant, weather-resistant, and flexible. This copolymer should also have a hardness value within the range of about 60-95 Shore A durometer (preferably 70-90), he resistant to permanent deformation at temperatures below about 90°C, have a dynamic coefficient of friction with respect to dry leather greater than 0.45 when embossed, have a tensile strength of at least 700 psi, and have an elongation of at least 100%.

The dynamic coefficient of friction of the embossed layer is determined by utilizing the procedure described in Military Specification: Walkway Compound, Nonstip, and Walkway Mauting, Nonstip (MIL-W-5044C), dated Aug. 25, 1970. According to this publication, two pieces of vegetable-tanned cattlehide sole leather, it by inch by 10 inches are bonded to a 1 by

5 by 10.5 inch block of maple. Weights are added to provide a total weight of 20 pounds. The strips extend lengthwise symmetrically and about 4 inches apart of the 5 by 10.5 inch face of the block, with the flesh surfaces of the leather exposed. The exposed leather sur- 5 faces are lightly sanded before each run with 2/0 garnet paper and wiped clean of sanded particles with a cloth. The test block is placed on the leather strips on one end of an 18 inch long by 6 inch wide test panel adhered to 7 inches across the panel at a speed of 20 inches per minute is recorded. Three runs on each test speciman are made and averaged. The dynamic coefficient of friction is the average load divided by the weight of the block

Embossed polymer surfaces having a dynamic coefficient of friction less than 0.45 on dry leather are deemed too slippery for use as nonslip or frictionsurface sheet material.

Conclumers suitable for use in the invention and hav- 20 ing the properties described above include ethylenic copolymers (and-terpolymers) such as prepared from ethylene and olefinically unsaturated monomers such as alkyl acrylates, propylene, vinyl acetate, butadiene, hexadienes, and combinations thereof. Commercially 25 available forms of such copolymers include ethylene ethyl acrylate copolymers such as that sold under the trade designations "Bakelite DPD 6169" and "Bakelite DPD 6182", ethylene vinyl acetate such as that sold under the trade designations "Bakelite DOD 1868", "Bakelite DQD 6182", "Elvax 260" and Ultrathene UE 645X", "Ultrathene 637", "Ultrathene 630-81" and "Ultrathene 631", and ethylene propylene diene terpolymers such as those sold under the trade designations "Nordel 1500", "TPR 1900" and "TPR 2000".

The copolymer comprising the upper surface of the friction-surface sheet of the invention may incorporate fillers or pigments to impart color or improve the physical properties in this layer. Such fillers, which include 40 carbon black, clays, magnesium oxide, reclaimed rubber, fine scrap rubber particles, process oils, and other materials known in the art, can be used up to 70% by weight of the total weight of this layer. The fillers may be added to the copolymer prior to extruding it onto the backing film, by milling, mixing such as in a "Banbury" machine, and in other ways known in the art.

The conolymer layer will typically have an average thickness on the order of 10 to 60 mils, and when embossed, will typically have a thickness on the order of 3 to 25 mils or more at its thinnest portion (at the valleys).

The rubber prime coat, for promoting adhesion between a rubber base pressure-sensitive adhesive and the lower surface of the polyethylene terephthalate film, may be applied as a solution of natural rubber in an organic solvent such as heptane or trichloroethylene, typically on the order of 2 to 3% by weight rubber. This prime layer, which will be typically less than 60 about 0.5 mil thick, can be eliminated and the rubberbase adhesive merely coated directly by conventional techniques upon the polyethylene terephthalate backing film surface, but priming provides a bond of supebase adhesive and, therefore, is preferred.

The rubber base adhesive most preferred for use on the friction-surface sheet material of the invention is a tacky, pressure-sensitive, abrasion-resistant, bakelized

crude rubber adhesive. This type of adhesive is disclosed in U.S. Pat. Nos. 2,269,712, 2,410,079, and 2,177,627, each of which is incorporated herein by reference. Other normally tacky and pressure-sensitive adhesives which can be used include the tackified AB block copolymer adhesives disclosed in U.S. pat, application Ser. No. 146,473, filed May 21, 1971, now U.S. Pat. No. 3,787,531, by Carl A. Dahlquist and Vasant V. Kolpe, which application is incorporated herein by refa level surface and the load required to pull the block 10 erence and acrylate adhesives disclosed in U.S. Pat. No. Re. 24,906, also incorporated by reference. The pressure-sensitive adhesive layer is preferably on the order of 2 to 10 mils in thickness.

The roll used to emboss the copolymer layer has a 15 surface which is the counterpart of that desired for the friction-surface sheet material of the invention. The roll surface may be generated by engraving, rough sandblasting, or other ways which involve imparting a textured surface to a smooth cylindrical metal roll. The textured surface may have a uniform pattern, include indicia, or may be of random nature, as lone as it embosses the desired textured surface into the sheet mate-

One method of preparing an embossing roll suitable for use in the invention is by shaping an original which may be a prior art friction-surface sheet into a tubular form (with the textured surface forming the inner surface of the tube), supporting the tube in a temporary rigid tubular structure, sensitizing the textured surface so that metal can be electrically deposited thereon, and electrically depositing metal to build up a rigid structure suitable for use as an embossing roll. The temporary rigid structure and the original are then removed to reveal the embossing roll which can be supported for rotation. This method of producing an embossing roll is disclosed in U.S. Pat. No. 2,749,294.

The invention is further illustrated by reference to the following examples, in which all parts and percentages are by weight unless otherwise noted.

# EXAMPLE 1

A 26 inch wide, 3 mil thick continuous sheet of biaxially oriented, heat-set polyethylene terephthalate (commercially available under the trade designation "Scotch Par" from the 3M Company) was primed by extruding a 1 mil thick layer of ethylene ethyl acrylate copolymer having a melt index of 6 (commercially available under the trade designation "Bakelite DFDA 6169" from the Union Carbide Company) thereon as a uniform layer. The extruder has barrel temperatures of 150°C, 200°C, 260°C, 280°C and 290°C, respectively, gate temperature of 290°C, die neck temperature of 290°C, die temperature of 290°C, and end plate temperatures of 290°C. The coating was permitted to cool and the coated polyethylene terephthalate film was passed, film side in, around a 6 ft, diameter hot can (130°C surface temperature) approximately 1 inch from 120 equally spaced type G 64T6 tubular ultraviolet lights arranged in 270° wrapped within a shroud around the hot can to provide an irradiation residence time of about 0.2 minute, with the coated side facing the ultra-violet lights. The polyethylene terephthalate rior strength between the backing film and the rubber 65 film was then coated using a second extruder on the primed surface with a blend of (1) 94.5 parts ethylene ethyl acrylate copolymer consisting of 18% ethylacrylate and 82% ethylene (sold under the trade designation "Bakelite DFD 6169" by the Union Carbide Co.)

(2) 5 parts of a predispersed mixture of one titanium dioxide in one part low density polyethylene available under the trade designation "PMS 08500" (3) 0.2 part of a bacteriostat (sold under the trade designation "Vancide 89") and (4) 0.25 parts of a ultra-violet light 5 absorber ("Cvasorb UV531") at an average thickness of 15 mils. The second extruder has barrel temperatures of 120°C, 140°C, 160°C and 165°C, respectively, a neck temperature of 145°C, and a die temperature of 155°-170°C. The coated film was then passed between 10 an 8 inch diameter textured surface embossing roll and an 8 inch diameter rubber backup roll having a Shore A hardness value of 60-80 with the copolymer surface exposed to the embossing roll, providing an embossed textured surface ethylene ethyl acrylate copolymer 15 layer. Since this film had a tendency to curl away from the polyethylene terephthalate side, it was decurled by passing between idler rolls over a decurling tension bar while under tension of about 400 pounds with the polyethylene terephthalate surface against the bar. The decurled laminate was roll coated on the remaining polyethylene terephthalate surface with a 2.8% natural rubber/heptane solution having a viscosity of about 150-200 cps. A very thin rubber coating (less than 0.5 mil in thickness) resulted, once the coating had been dried by passing it over a 68°C hot can. The rubber coating was irradiated from a distance of 1 inch with an ultra-violet light source consisting of 18 G 64T6 lamps arranged % inches apart in a 21/2 feet linear pattern with a residence time of 0.1 minute. Thereafter, a selfsupporting, 4 mil thick, bakelized pressure-sensitive tacky adhesive composition prepared by mixing of equal parts of Part A and Part B described in the table below and sufficient heptane/ethanol (97/3) solution to make the viscosity 5000 cps, coating the mixture on a silicone oil coated paper release liner, and evaporating the solvent. The resultant adhesive layer was laminated to the rubber-primed side of the polyethylene terephthalate film by passing the superimposed layers between nip rolls consisting of an 11.5 inch diameter metal roll and 9.5 inch diameter rubber roll having a Shore A hardness of approximately 60 to 80 at a roll to roll pressure of 15 pounds per inch of width of contact.

Rubber Buse Pressure-Sensitive Adhesive	
---	--

Part A	
Ingredient	Parts by Weight
Crude rubber	100.0
Zinc oxide	66.00
Anhydrous lanolin	6.75
Natural pine rosin ("Nelio N Gum")	6.75
Oil-soluble heat-reactive para- substituted phenol aldehyde tackifier	
resin ("Bakelite CKR-1634")	4.38
Heptane	226
Denatured ethyl alcohol	25
Purt B	
	Parts by
Ingredient	Weight
Pale crepe rubber	100
Polyterpene tackifier	
("Piccolyte-S-115" resin)	47.2
Zinc resinate having a melting point of 164°C and acid number of zero	*
("Zirex Resin")	9.42
Tricresyl phosphate	4.69
2,5-di-tert-amylhydroquinone	
antioxidant ("Santovar A")	2.03

-Continued

# Rubber Base Pressure-Sensitive Adhesive

Part B	Parts by Weight
tilktemett	· · · · · · · · · · · · · · · · · · ·
Oil-soluble heat-reactive para- substituted phenol aldehyde tackifier resin ("Bakelite CKR-1634")	5.69
Titanjum dioxide	3.86
Carbon black	0.08
Cyclohexylamine	0.02
Denatured ethyl alcohol	18.82
Toluene	18.82
Heptane	451

The resultant friction-surface sheet (less the release liner), which had a roughened surface, overall thickness of 30 mils and an average thickness of 23 mils, provided an excellent underfoot friction-surface when cut to size and applied to stairs, bath tubs and showers.

# EXAMPLE 2

An embossed layer adherently bonded to polyethylene terephthalate backing film was prepared according to Example 1 and to such a structure was bonded 5 mils of a polystyrene polyisoprene AB block copolymer prepared according to Example 1 of aforementioned U.S. patent application Ser. No. 146,473. The A block was polystyrene having a molecular weight of 45,000 and the B block was polyisoprene having a molecular weight of 105,000. The adhesive was of the following ingredients:

AB Block Copolymer Adhesive

	Ingredients	Parts
	AB block copolymer	100
	Petroleum resin tackifier ("Wingtack 95")	25
٠	Antioxidant ("Irganox 1076")	2
•	Titanium dioxide	0.5

#### EXAMPLE 3

A friction-surface sheet product was formed by adhering to the polyethylene terephthalate surface of embossed upper layer/backing film of Example 1 a pressure-sensitive adhesive containing the AB block copolymer described in Example 2 and multi-block co-50 polymer (3 block copolymer) sold under the trade designation "Kraton 1108". The adhesive contained the following ingredients:

AB/Multi-Block Copolymer Adhesive

1	ngredients	Parts
	fulti-block copolymer ("Kraten 1108")	23
F	B block copolymer	77
F	etroleum resin tackifier ("Wingtack 95")	35
60 A	intinxidant (*"Irganox 1076")	2
1	itanium dioxide	0.5

# **EXAMPLE 4**

The friction-surface sheet material's adhesive was of a multi-block copolymer of the following ingredients:

Multi-Block Copolymer Adhesive

Ingredients	Parts	
Multi-block copolymer ("Kraton 1108")	100	
Polyterpene tackifier resin ("Piccolyte S-115")	100	
Aliphatic process oil ("Sun Par 2280")	10	

#### EXAMPLE 5

The friction-surface sheet material's adhesive was a normally tacky and pressure-sensitive 10:90 acrylic acid:isooctyl arylate copolymer such as described in 15 Example 7 of U.S. Pat. No. Re.24,906.

#### EXAMPLE 6

A friction-surface sheet material was prepared acviene terephthalate prime coating was replaced by an ethyl vinyl acetate copolymer (sold under the trade designation "Bakelite DQDA 3737" by the Union Carbide Co.) For this material the extruder had barrel temperatures of 110°C, 130°C and 150°C, a neck tempera- 25 ture of 150°C, a die body temperature of 150°C and gate temperatures of 150°C.

#### EXAMPLE 7

The polyethylene terephthalate backing film was pre- 30 pared by coextrusion with thermoplastic polyester ("Hytrel 4055") to form a 3 mil composite film having a 0.75 mil polyethylene terephthalate layer, after orientation and heatsetting. The polyester (Hytrel 4055) extruder had barrel temperatures about 140°C, 185°C, 35 190°C and 225°C, and a die temperature about 225°C. The composite was oriented at 80°C by stretching 2.8 times in the machine direction and 2.8 times in the transverse direction, and heat-set by heating at 190°C for 12 seconds.

The polyester (Hytrel 4055) surface was coated (without additional primer) with ethylene ethyl acrylate copolymer ("Bakelite DFDA 6169), embossed and bonded to an adhesive layer as described in Example 1.

#### EXAMPLE 8

A 2 mil thick polyethylene ("DFD 4947") prime coating was extrusion coated on 2 mil thick biaxially oriented, heat-set polyethylene terephthalate backing and irradiated according to Example 1. The polyethylene layer surface was overcoated with a mixture of 99 parts ethylene propylene diene terpolymer ("TPR-2000") and I part carbon black which was embossed to provide a 42 mil thick embossed laminate. The underside of the laminate (the polyethylene terephthalate 55 surface) was primed with natural rubber as described in Example I and coated with a pressure-sensitive adhesive consisting of the ingredients designated Part B in Example 1.

#### EXAMPLE 9

A polyethylene terephthalate film primed on its upper surface as described in Example 1 was overunder the trade designation "Ultrathene 645") and emhossed as described in Example 1 to provide a frictionsurface sheet.

# EXAMPLE 10

The embossable copolymer upper layer was a mixture of (1) 20 parts ethylene vinyl acetate copolymer - 5 ("Elvax 260") (2) 20 parts powdered polyethylene ("Microthene 715") and (3) 60 parts shredded scrap rubber tire filler (screened through "Tyler" 12 mesh screen having about 1.41 mm openings). The scrap rubber was a filler which did not undesirably increase 10 the hardness. The copolymer was ground to a powder and mixed with the polyethylene and filler in a two stage polyethylene extruder having barrel temperatures of 140°C, 190°C, 200°C and 205°C, a neck temperature of 205°C and a die temperature of 200°-210°C. The copolymer was coated on a primed polyethylene terephthalate film prepared according to Example 1 and embossed to produce a friction-surface sheet.

What is claimed is:

- 1. Friction-surface sheet material especially useful cording to Example 1 except the upper surface polyeth- 20 for providing a safe frictional walk-on surface for stairs. in bath tubs and showers, and on other surfaces which may be inherently undesirably slippery, comprising in combination:
  - a heat-set, biaxially oriented, polyethylene terephthalate backing having a thickness of about 1 to about 5 mils.
  - an ultraviolet light transmissive ethylene polymer prime layer, adherently bonded to one major surface of said polyethylene terephthalate backing,
  - adherently bonded to the primed surface of said backing a layer of embossed, textured, tough, scuff-resistant, weather-resistant, flexible ethylenic copolymer material having a Shore A durometer hardness value within the range of about 60-95, said layer being resistant to permanent deformation at temperatures below 90°C, having a tensile strength of at least 700 psi, a dynamic co-efficient of friction greater than 0.45 and an elongation of at least 100%; and
  - about 2 to about 10 mils of normally tacky and pressure-sensitive adhesive uniformly coated over and adherently bonded to the opposite major surface of said backing.
  - 2. The friction-surface sheet material of claim 1 45 wherein said ethylenic copolymer is an ethylenic copolymer or templymer formed from ethylene and olefinically unsaturated monomers selected from the group consisting of alkyl acrylates, propylene, vinyl acetate, butadiene, hexadienes, and combinations 50 thereof.
    - 3. The friction-surface sheet material of claim 1 including a natural rubber prime layer interposed between said polyethylene terephthalate layer and said adhesive layer, and wherein said adhesive is a rubber hase adhesive
    - 4. The friction-surface sheet material of claim 1 wherein said ethylenic copolymer layer is formed of ethylene acrylate copolymer.
  - 5. The friction-surface sheet material of claim 1 60 wherein said adhesive is selected from the group consisting of acrylate adhesives and AB block copolymer adhesives.
- 6. The friction-surface sheet material of claim 5 wherein said AB block copolymer adhesive also concoated with ethylene vinyl acetate copolymer (sold 65 tains multi-block copolymer having at least 3 connected polymer blocks.

# UNITED STATES PATENT OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 3,895,153

DATED July 15, 1975

INVENTOR(S): Manley R. Johnston and Roger P. Goepninger

It is certified that error appears in the above—identified patent and that said Letters Patent are hereby corrected as shown below:

Col. 1, line 12, change "25,788" to --25,778--

Col. 7, line 1, change "one titanium' to -- one part titanium--

Col. 9, line 15, change "arylate" to --acrylate--

Claim 4, line 3, after "ethylene" and before "acrylate",

--ethyl-- should be inserted.

Bigned and Bealed this

fourteenth Day of October 1975

[SEAL]

Attest:

RUTH C. MASON

C. MARSHALL DANN

Commissioner of Patents and Trademarks

# ATTORNEY DOCKET NO. 5923,0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

: Goecke

TITLE

Adhesive Tape

SERIAL NO.

: 10/674,108

FILING DATE

September 29, 2003

ART UNIT

: 1788

CONFIRMATION NO.

: 2438

ATTORNEY DOCKET NO. : 5923.0001

# Exhibit F

Reeves U.S. Patent 5,508,084



# US005508084A

Reeves et al.

United States Patent Hor

[11] Patent Number: Date of Patent:

[45]

5.508,084 \*Apr. 16, 1996

[54] REPOSITIONABLE ARTICLES HAVING A MICROSTRUCTURED SURFACE, KITS FOR PRODUCING SAME, AND METHODS OF USE

[75] Inventors: Mark E. Reeves, Maplewood; Diwakaran A. Ratnam, St. Paul, both of Minn.

[\*] Notice: The term of this patent shall not extend beyond the expiration date of Pat. No. 5,234,740.

[73] Assignee: Minnesota Mining and Manufacturing Company, St. Paul, Minn.

[21] Appl. No.: 248,863

[22] Filed: May 24, 1994

# Related U.S. Application Data

[63] Continuation-in-part of Ser. No. 929,685, Aug. 13, 1992. which is a continuation-in-part of Ser. No. 751,147, Aug. 28, 1991. Pat. No. 5.234,740.

[51] Int. Cl.6 .... B32B 3/28 428/346 [58] Field of Search ... 428/156, 172, 428/343, 76, 167, 178, 174, 95, 96, 346,

156)

# References Cited

# U.S. PATENT DOCUMENTS 3,684,348 8/1972 Rowland ...... 350/103 3,689,346 9/1972 Rowland .....

(List continued on next page.)

# FOREIGN PATENT DOCUMENTS

733014 4/1966 Canada . 2125305 9/1972 2669543 5/1992 France .

263450A1 German Dem. Rep. . 1/1989 2807658A1 9/1979 Germany . 3203023A1 8/1983 Germany . 3703225A1 8/1988 Germany . 2062479 5/1981

United Kingdom . 8183005.5 8/1981 United Kingdom. 2057894 5/1983 United Kingdom . WO85/04592 10/1985 WIPO .

# OTHER PUBLICATIONS

M. M. Koura, "The Effect of Surface Texture on Friction Mechanisms", Wear, vol. 63, No. 1, pp. 1-12 (1980). U.S. Application Ser. No. 07/875,186, filed Apr. 28, 1992 (Rouser et al.).

Performance Bicycle catalog, p. 29, Autumn 1992, published by Performance Bicycle Shop of Chapel Hill, North Carolina.

Dialog News Release from Omnium Corp., "New . . . Anti-Static Mouse Pad," Jun. 15, 1987.

Dialog News Release from Educational Systems, Inc./MI-CROREF, "MICROREF Introduces Mousepad That Provides At-A-Glance Reference For Software," May 8, 1991. Dialog News Release from Computer Retail Week, "Big Profit On Small-Change Items: Mouse Pads, Surge Protectors & Low-Cost Apps Among Fastest Movers," Aug. 10, 1992.

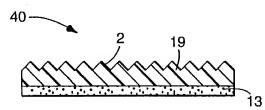
# (List continued on next page.)

Primary Examiner-Donald J. Loney Attorney, Agent, or Firm-Gary L. Griswold: Walter N. Kim; Carolyn V. Peters

# ABSTRACT

A repositionable article having a microstructured surface is described which includes a removable and rebondable adhesive layer having first and second surfaces and a control layer having a control surface and a back surface, the second surface of the adhesive layer adhered to the back surface of the control layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions. The articles find use particularly as mouse pads.

#### 48 Claims, 4 Drawing Sheets



354, 542.8, 40

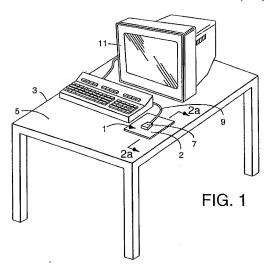
# 5,508,084

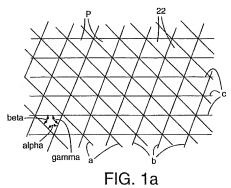
Page 2

# U.S. PATENT DOCUMENTS

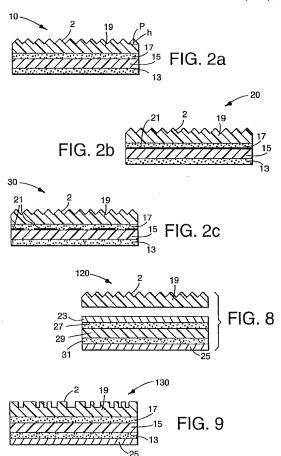
3,857,731	12/1974	Merrill, Jr. et al 117/122 PA
3,901,727	8/1975	Loudas 134/4
4,226,418	10/1980	Balfour 273/75
4,259,286	3/1981	Louis et al 264/555
4,264,484	4/1981	Patel 260/29.6 F
4,343,848	8/1982	Leonard, Jr 428/156
4,364,723	12/1982	Louis et al 425/384
4,478,769	10/1984	Pricone et al 264/1.6
4,488,918	12/1984	Jofs 156/79
4,560,487	12/1985	Brinkley 252/8.75
4,576,850	3/1986	Mariens 428/156
4,681,790	7/1987	Fong 428/96
4,691,387	9/1987	Lopez 2/161 A
4,799,054	6/1989	House 340/710
4,866,602	9/1989	Hall 345/157
4,875,259	10/1989	Appeldom 24/576

4,881,275	11/1989	Cazares et al 2/161 A
4,906,494	3/1990	Babinec et al 428/35.2
4,959,265	9/1990	Wood et al 428/343
5,022,170	6/1991	House 40/358
5,055,340	10/1991	Matsumura et al 428/172
5,141,790	8/1992	Calhoun et al 428/40
5,217,781	6/1993	Kuipers 428/85
5,234,740	8/1993	Recves et al 428/156
	OTHE	R PUBLICATIONS
Dialog News	Release	from W. H. Brady Co., "BRADY ·
Customized N	Iouse Pa	ds." Jul. 1, 1989.
"Machine Des	ien" Se	p. 24, 1992, p. 92.
		of "Multi-Pad," from Computer
Coverup, Inc.		or manufact, from Computer
		nical Technology," 3rd Ed., vol. 3, pp.
149-183, Jo	hn Wile	ey & Sons, New York, 1978.

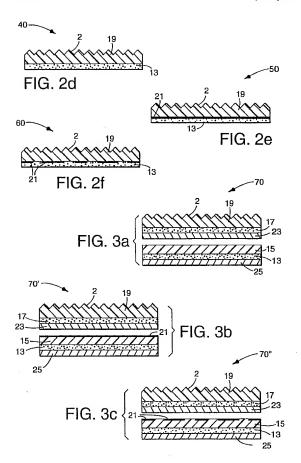


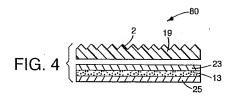


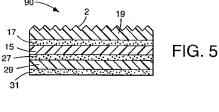
Apr. 16, 1996

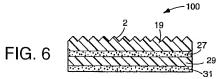


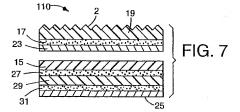
Apr. 16, 1996











# REPOSITIONABLE ARTICLES HAVING A MICROSTRUCTURED SURFACE, KITS FOR PRODUCING SAME, AND METHODS OF USE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of application Ser. No. 07/929,685, filed Aug. 13, 1992, which was a 10 continuation-in-part of application Ser. No. 07/751.147. filed Aug. 28, 1991, now U.S. Pat. No. 5,234,740.

# BACKGROUND OF THE INVENTION

#### 1. Field of Invention

The present invention relates to printable, removable adhesive-fastened articles having a microstructured surface on their nonadhesive side, which are useful as a pad over which hand-held pointing devices may traverse.

# 2. Background Art

Many computers for use in the home or office are equipped with a hand-held pointing device, commonly referred to as a "mouse" because of their appearance. The mouse controls a pointer or cursor on the computer screen. 25 A typical mouse has a rubber or nubber-coated "track ball" which contacts a surface, such as a desk top. Smooth and textured metallic track balls are also known. The track ball rolls within a socket within the mouse body. The mouse translates the movement of the track ball, in cooperation 30 with the necessary computer hardware and software, into signals that tell the computer how to move the pointer.

Mice of the type previously described are generally referred to as mechanical mice. Other types of mice convert the movement of the track ball to an optical signal which is 35 then converted into corresponding electrical signal (optomechanical mice).

A mouse will typically have one or more mouse buttons accessible to the user which the user may depress. In some 40 cases, mouse buttons may be depressed simultaneously with the movement of the mouse across the surface, a procedure commonly known as "dragging." Dragging lets the user select a portion of the screen or move objects around the screen. In some mice of the type described, moving the mouse slowly results in small movements of the pointer, while moving the mouse faster results larger pointer movements.

In all of the above-mentioned mouse movements, both the mouse-to-pointer movement relationship and comfort are 50 important to the user. It is frequently desired to cover large distances on the screen with minimum mouse movement. while retaining precise pointer control when the pointer approaches the desired object. It is also preferable to move the mouse with as much comfort to the user's hand and wrist 55 as possible, avoiding step-like movements as might accompany the hand traversing a raised edge of the desk, or jerky movements across the desk made possible by dust, dirt, oil or food particles on the desk.

employing a mouse, particularly in office and home settings. to place the computer on decorative wooden or other furniture which may be subject to scratches and dents by mouse movements. If the furniture is wood, oils or other slippery materials may be present in furniture polish. These materi- 65 als, food grease and food particles, dust, dirt, and the like, may accumulate on such surfaces and come between the

mouse and the surface thus rendering the mouse movement on the pad, and the cursor movement, less effective than desired. As such, most users would rather not have the mouse traverse the surface of the desk per se, but commonly 5 employ a "mouse pad" to both protect the surface and retain precision pointer movements. One popular mouse nad comprises a thin woven or nonwoven surface over which the mouse traverses, and the nonwoven or woven material may have logos, advertisements, or other graphic symbols printed thereon. Adhered to one side of the woven or nonwoven material opposite the side the mouse traverses is typically a flexible foam which is perhaps 0.125 to 0.5 inch (0.32 to 1.27 cm) thick. This foam backing may have a plurality of rubbery, knobby protuberances on its bottom surface (away 15 from the mouse traversing surface) which provide frictional non-slip contact with the desk or other surface.

Home and office computer users also frequently desire to personalize their work stations. Mouse pads are available having means for changing graphic designs within an envelope created between the mouse-traversing surface layer of the pad and a base layer. The base layer is typically an open cell neoprene sponge rubber or other resilient layer to isolate the mouse traversing surface of the pad from unevenness in the desk upon which the mouse pad is placed. Unfortunately, the foam pads tend to present an uncomfortable sten which the user's hand must traverse, at times presenting the user's wrist with an uncomfortable movement, Mouse pads of this type thus sacrifice some user comfort for precision in locating the cursor on the computer screen.

It would be an advancement in the mouse pad art to provide a pad which is more comfortable to the user than those having a thick backing, and which may be changeably customized or personalized to alternatively display family photos, important computer commands, cartoons, and the like on the pad. It would also be advantageous if the pad could easily be temporarily immobilized on the desk or other surface, then removed and moved to another surface, without damage to the surface and without leaving a residue on the surface. It also would be desirous to have a mouse pad having a uniform texture with good aggressive grab to the track ball providing a smooth, uniform, and predictable movement to the pointer on the screen, but not abrasive to the user's hand, while being possible of manufacture from almost any plastic material such as urethane or polyethylene.

# SUMMARY OF INVENTION

In accordance with the present invention, repositionable articles having a microstructured surface are presented which are useful as a repositionable control surface for a personal computer pointing device (including a mouse or data pen). The articles of the invention may also serve as repositionable drink coasters and airline tray covers. The inventive articles can be adhered either permanently or temporarily to a surface, and can be repeatedly attached and removed from a surface. The articles may be customized with artwork, either by printing on the obverse or through a lamination process.

The articles of the invention have a control surface which It is common for human users of interactive computers 60 is soft to the human touch, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions. The raised regions are preferably pyramidal. The phrase "an array of a plurality of precisely shaped raised regions and a plurality of recessed regions" is meant to distinguish over articles, such as paper, which have a smooth surface but microscopically have a textured surface

Articles of the invention are especially useful as repositionable mouse padd due to accellent mouse tracking ball contact with the control surface. As the control layer may be formulated to contain water and oil repellant and soil resistant additives (or the control surface coated with same), 2 the control surface will not get diffy from figger tip oils. The control layer is non-porous, will not shed lint and can be cleaned easily. Do to these properties, the articles of the invention advantageously keep the track ball cleaner for centucled time periods.

- In one embodiment the repositionable article having a microstructured surface comprises:
  - a) a removable and rebondable adhesive layer having first

and second surfaces:

b) a control layer having a control surface and a back 15 surface, the second surface of the adhesive layer adhered to the back surface of the control layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.

Preferred articles of the invention are those wherein the Perenvalue and rebonduble admissive layer comprises an adhesive which is permanently honded to the back surface of the control layer but remains rehoudable to a surface such as a desk top and the like. Also preferred are control layers as desk top and the like. Also preferred are control layers which are modified to include optional andstate agents, swater, oil and soil resistant additives and/or contings, pigments and/or dyes, and the like, and those control layers which have printed information on the obverse. Optionally, the control surface may have these types of additives applied by the user as contings from spray containers, as is com-30 month xhonour.

As used herein the terms "removable and rebondable" and "repositionable", when referring to an adhesive layer, are interchangeable terms, and mean that the adhesive permits repeated cycles in which materials are alternatively bonded 35 thereto and removed therefrom, while the adhesive is permanently retained on the back surface of the control layer, One suitable repositionable adhesive is the adhesive system described in the portion of assignee's U.S. Pat. No. 3,857, 731 extending from column 2, line 1 through column 10, line 40 47, which portion is incorporated by reference herein. This adhesive system comprises a binder material having embedded therein and protruding from the exposed surface thereof. elastomeric, inherently tacky, acrylate copolymer microspheres. This adhesive system is further described herein. 45 Adhesives exhibiting a peel adhesion ranging from about 2 to about 25 ounces per inch of width (about 22 to about 275 grams per cm of width) in a standard peel adhesion test are preferred.

In a second embodiment the repositionable article having 50 a microstructured surface comprises:

- a) a removable and rebondable first adhesive layer having first and second surfaces;
- a substrate layer having first and second surfaces, the second surface of the first adhesive layer adhered to the first surface of the substrate layer,
- a second adhesive layer having first and second surfaces, the first surface of the second adhesive layer adhered to the second surface of the substrate layer; and
- d) a control layer having a control surface and a back surface, the back surface adhered to the second surface of the second adhesive layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.

Preferred are those articles of the second embodiment in which the substrate layer is selected from the group con-

sisting of plastic and paper, and the second adhestive layer is a permanent adhesive. The substrue layer may also be a foamed material, such as foamed morprace, but this is not particularly preferred. The control surface preferrably consists of a uniform array of a plurality of pyramids each having a height manging from about 0.001 inch (about 2.54x10<sup>-3</sup> to about 0.1 cm). A graphic design may be adhered between the substrate layer and the second adhesive, or the design may be printed on the back surface of the control layer.

The articles of the first and second embodiments optionally include a release liner material removably attached to the rebondable adhesive.

Another embodiment of the invention is a kit adapted to be manipulated by the user to form a repositionable article having a microstructured surface. A first kit embodiment

a) a first sheet member consisting of:

 a first release liner material having first and second surfaces;

- a first adhesive layer having first and second surfaces, the second surface of the first adhesive layer removably adhered to the first surface of the first release liner material; and
- 3) a control layer having a control surface and a back surface, the back surface of the control layer adhered permanently to the first surface of the first adherisve layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessor regions; and

b) a second sheet material consisting of:

- a second release liner material having first and second surfaces:
- a removable and rebondable second adhesive layer having first and second surfaces, the second surface of the second adhesive layer adhered to the first surface of the second release liner material; and
- 3) a substrate layer having first and second surfaces, the second surface of the substrate layer adhered to the first surface of the substrate layer, and the first surface of the second adhesive layer, and the first surface of the substrate layer adapted to be permanently adhered to the first surface of the first adhesive layer when the first release material is remode from the first adhesive layer and the first adhesive layer and substrate layer are to tioned.

Preferred are those kits comprising a graphic layer, the graphic layer positioned either between the first adhesive layer and the control layer (or printed on the back surface of the control layer), or adhered to the second surface of the substrate layer.

Another kit embodiment, adapted to be manipulated by the user into a repositionable article having microstructured surface, comprises:

- a) a first sheet material having a control surface and a back surface, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions; and
- b) a second sheet material comprising:
  - 1) a first release material having first and second
- 2) a removable and rebondable adhesive layer adapted to be attached to the back surface of the control layer, the adhesive layer having first and second surfaces, the second surface of the adhesive layer removably adhered to the first surface of the first release material: and
- a second release material having first and second surfaces, the second surface of the second release

material removably adhered to the first surface of the adhesive layer.

Yet another embodiment of the invention is a repositionable article having a microstructured surface comprising:

- a) a removable and rebondable first adhesive layer having 5 first and second surfaces;
- a first substrate material having first and second surfaces, the second surface of the first substrate material adhered to the first surface of the first adhesive layer;
   c) a second adhesive layer having first and second surface.
- e) a second adhesive layer having first and second surfaces, the second surface of the second adhesive layer adhered to the first surface of the first substrate layer;
- d) a second substrate layer having first and second surfaces, the second surface of the second substrate layer adhered to the first surface of the second adhesive 15 layer.
- a third adhesive layer having first and second surfaces, the second surface of the third adhesive layer adhered to the first surface of the second substrate material; and
- f) a control layer having a control surface and back 20 surface, the back surface of the control layer adhered to the first surface of the third adhesive layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.
- Preferred are those articles within this embodiment wherein a graphics layer is positioned between the second substrate layer and the third adhesive layer.
- Still another embodiment of the invention is a repositionable article baving a microstructured surface comprising:
- a) a removable and rebondable first adhesive layer having first and second surfaces;
   b) a substrate layer having first and second surfaces, the
- a substrate layer having first and second surfaces, the second surface of the substrate layer adhered to the first surface of the first adhesive layer;
- c) a second adhesive layer having first and second surfaces, the second surface of the second adhesive layer adhered to the first surface of the substrate layer;
- d) a control layer having a control surface and a back surface, the back surface of the control layer adhered to the first surface of the second adhesive layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.

Another kit of the invention includes first and second sheet-like anieles, the kit comprising;

- a) the first sheet-like article consisting of:
  - a first release material having first and second surfaces:
  - a first adhesive layer having first and second surfaces, the second surface of the first adhesive layer removably adhered to the first surface of the first release layer; and
  - a control layer having a control surface and a back 55 surface, the back surface adhered to the first surface of the first adhesive layer, the control layer defined as above; and
- b) said second sheet-like article consisting of:
   1) a second release material having first and second 60
  - 2) a removable and rebondable second adhesive layer having first and second surfaces, the second surface of the second adhesive layer removably adhered to the first surface of the second release material:
  - a first substrate layer having first and second surfaces, the second surface of the first substrate layer

adhered to the first surface of the second adhesive

- 4) a third adhesive layer having first and second surfaces, the second surface of a third adhesive layer adhered to the first surface of the first substrate layer; and
- 5) a second substrate layer having first and second surfaces, the second surface of the second substrate layer adhered to the first surface of the third adhesive layer.
- An alternative kit including first and second sheet-like articles comprises;
- a) a first sheet-like article consisting of a control layer having a control surface and a back surface, the back surface adapted to be contacted with a second sheetlike article, the control surface defined as above; and
  - a second sheet-like material consisting of:
     a first release material having first and second
    - surfaces;
      2) a removable and rebondable first adhesive layer
      having first and second surfaces, the second surface
      of the first adhesive layer removably adhered to the
      first surface of the first reclease materials.
    - a substrate layer having first and second surfaces, the second surface of the substrate layer adhered to the first surface of the first adhesive layer;
    - 4) a second adhesive layer having first and second surfaces, the second surface of the second adhesive layer adhered to the first surface of the substrate layer: and
  - 5) a second release material having first and second surfaces, the second surface of the second release material removably adhered to the first surface of the second adherive layer, the second release material and the first release material adapted to be removed from the second sheet-like article, and the first surface of the second adhesive layer adapted to be adhered to the second surface of the control layer.

The articles of the invention may be:

- (a) packaged flat with overlapping articles, wherein the adhesive is covered with a release liner;
- (b) packaged flat with overlapping articles, adhesive unlinered;
- (e) rolled onto itself such that the adhesive is not exposed but is covered up by subsequent layers.

(d) folded onto itself, adhesive against adhesive, such that the rebondable adhesive remains clean until used.

Thus, the invention further includes a support article from which repositionable articles can be removed, the support article comprising a plurality of repositionable articles each having a microstructured surface, each repositionable article comprising:

- a) a removable and rebondable adhesive layer having first and second surfaces;
- b) a control layer having a control surface and a back surface, the back surface of the adhesive layer adhered to the back surface of the control layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.

the repositionable articles being relatively disposed so that at least a portion of the control surface contacts at least a portion of the first surface of the adhesive layer of an underlying repositionable article. Preferred support articles are those wherein the repositionable articles are disposed in the form of a stack or roll of repositionable articles. And the surface are disposed in the form of a stack or roll of repositionable articles. And the surface are disposed in the form of a stack or roll of repositionable articles.

support articles upon which are mounted one or more of the repositionable articles.

- A final embodiment comprises a repositionable article having a microstructured surface comprising:
- a) a non-adhesive, high friction layer having first and 5 second surfaces;
- b) a control layer having a control surface and a back surface, the second surface of the non-adhesive, high friction layer adhered to the back surface of the control layer, the control surface defined by an array of a 10 plurality of precisely shaped raised regions and a plurality of recessed regions.

It will be understood that the repositionable adhesive in all other embodiments may be substituted with the nonadhesive, high friction materials.

There are many advantages of the articles and kits of the present invention when used as mouse pads when compared with the conventional foam-backed mouse pads. Preferably, the articles of the invention are constructed such that the total thickness (i.e. the thickness measured from the desk or 20 table surface to the point of the article most distal from the desk surface) is less than 1/4 inch (6.35 mm), preferably less than 1/16 inch (about 1.6 mm), but in all cases more than 0.05 mm. In survey tests of users comparing the inventive articles with those of the type having 1/4 inch or greater thick foam 25 backing, it was found that thicknesses within these ranges endow the inventive articles with significant orgonomic advantage. The inventive article's low height (i.e. low thickness value) still allows the area of the desk or other surface covered by the article (sometimes referred to as the 30 "mouse area") to be used as a normal desk surface. Papers can easily be slid over it, as can a keyboard. The control layer film is preferably transparent. Graphic artwork can be laminated to the obverse yet remain clear and legible, and the size and shape of the inventive articles can be easily 35 customized using scissors.

The inventive articles are of suitable faxibility such that they will lay flat on a surface even after being fixed. The inventive articles are preferably sufficiently resilient so that, the article bends as it is peacle off a desk or other surface, do but which is supple so that the article flattens out easily upon reattaching to the desk. Typically, articles of the invention are sufficiently flexible to be wound about themselves on a 1 inch (2.34 or old immeter mandre).

The control surface may have a border or other defined 45 portion which is not microstructured. For example, the peripheral border of the control surface may be stippled such that it is similar to the surface obtained by plasma coating. Alternatively, a portion or all of the control surface may be created through a knurling process yielding less uniform 50 results.

The control layer of the articles of the invention has a control surface defined by an array of precisely shaped protrusions, preferably pyramids or pyramidal frustums, that are specially configured to provide desired traction control 59 properties. Although uniform, nontrandom arrays are preferred in some instances, random arrays may be preferred in other end uses.

Adapted to be repositionably secured to the surface of a desk or other apparatus, the articles of the invention com-so misc at least one of (1) a repositionable adhesive layer on the surface of the article proximal to the desk surface, or (2) a high-frietion surface which replaces the repositionable adhesive, such as a coating of rubber (neoprene, chloroprene, and the like), or (3) the control layer can be adhered to a desk or so other surface through the use of surface tension effects, through a vacuum formed by air exclusion or through a

R

highly plasticized control layer or substrate layer that "wets out" the desk surface, in much the same fashion as nonadhesive vinyl decals are adhered to a surface. Useful highly plasticized, non-adhesive layers may comprise a plasticized robber such as neoprene. It is most preferred to utilize a repositionable adhesive, such as that known under the trade designation "Post-It", which is described in the previously mentioned "731 patent.

Alternatively, the control layer may have applied on its back surface a "slidable" pressure-sensitive adhesive such as those described in U.S. Pat. No. 5,141,790, incorporated by reference herein. This allows the inventive articles to be moved into place and then adhered by hand pressure. The adhesives described in the '790 patent comprise a plurality of spaced clumps of particles substantially uniformly distributed over and protruding from one face of the pressuresensitive adhesive layer, the tips of the clumps of partieles being substantially free from the pressure-sensitive adhesive. Preferably, the individual particles are smaller than the thickness of the pressure-sensitive adhesive layer, and substantially every clump extends to a height above the surface of the pressure sensitive adhesive layer that exceeds the average size of the particles. The particles are preferably microspheres of adhesive such as those described in U.S. Pat. No. 3,691,140, further described herein below.

The control surface of the invention may be tailored (by proper combination of microstructure, composition and munsparency) so that the array of precisely shaped protrusions and recesses defining the control surface creates an optical effect, such as Moire patterning or "image shifting." That is, the image changes with viewing angle.

In another of its embodiments, the invention relates to an article comprising a control layer having a control surface and a back surface, the control surface and a back surface, the control surface comprising an array of precisely shaped protrusions in the form of posts having as use in the plane of the control layer. In one embodiment he posts have non-planar sides which taper radially inwardly from their bases to their tops, the posts preferably comprising concer or onicel frostmus which have substantially circular bases. In another embodiment, the posts are essentially right cylinders of constant diameter from their base to their tops. This latter arrangement produces a matter usaffect texture comprised of a great number of plateau-like protrusions scattered at random on the surface and each having a top at the same level.

# BRIEF DESCRIPTION OF THE DRAWING

FIG. 1 is a perspective schematic view of a computer work station including a mouse and mouse pad;

FIG. 1a is a plan view of a portion of the control surface of an illustrative mouse pad of the invention;

FIGS. 2a-2f are cross-section views taken along the section 2a-2a as shown in FIG. 1;

FIGS. 3a-3c are cross-section views of kit embodiments of the articles of the invention;

FIG. 4 is a cross-section view of another kit embodiment of the invention;

FIGS. 5 and 6 illustrate in cross-section other alternatives to the embodiment shown in FIG. 2d:

FIG. 7 illustrates an embodiment which is an alternative to the embodiment illustrated in FIG. 3a:

FIG. 8 is a cross-section of an alternative to the embodiment illustrated in FIG. 4; and

FIG. 9 is a cross-section of an alternative embodiment showing a different microstructured surface.

## DESCRIPTION OF PREFERRED EMBODIMENTS

FIG. 1 illustrates in perspective schematic a mouse pad 1 having a control surface 2, mouse pad 1 lying essentially horizontally on a table or desk 3 having a top surface 5. A mouse 7 is shown resting on control surface 2, mouse 7 having wire 9 connecting it to a computer 11.

FIG. 1a is an illustrative embodiment of a preferred control surface 20 the mouse pad of the invention. A pattern of three intersecting sets of parallel v-shaped grooves yields 10 the structured control surface 2. The apexes of each set of grooves are identified as a, b, and c. The base of each individual protunsion 22 is defined by one groove of each of the three sets. As mentioned above, the three sides of the base of each three sided parallal relatively 15 equal in length. This can be controlled by selection of the intersection angles between the three sets of grooves, i.e., alpha, beta, and gamma. Each side of the base of a pyramidal protussion and the peak of that protrusion defines a plane, referred to herein as a face of the protrusion. The faces of 20 each protrusion are preferably relatively equal in area.

The direction parallel to each set of grooves is referred to herein as a major axis of the control surface. Thus, the article illustrated in FiG. 1a has three major axes. The maximum gip or friction provided by control surfaces of this type is 25 obtained in a direction perpendicular to one of the major axes of the control surface. In some instances, articles of the invention are characterized as having directional gripping characteristics.

In a second embediment of the invention (not illustrated), <sup>30</sup> the promisions comprise posts having one-planar sides and bases on the control layer. The posts taper radially inwardly from their bases to their tops. Preferably, the posts comprise substantially circular bases and the posts are either cones or conical frustums. Most preferably, land areas separate adja-35 cent ones of the bases of the posts.

With respect to articles of the invention in general, if the protusions comprise pyrumidal or conical frustums, cach fusuum typically has a planar top or upper surface which is 40 parallel to its base, although it is contemplated that the planar top or upper surfaces of the frustum can be inclined at an angle relative to the frustum's base. Further, the tops or upper surfaces of the frustum's rea not necessarily planar,

If the control surface of an article of the invention is 45 defined by pyramids or cones, it is typically preferred, but not essential, that the peak of the pyramid or cone be centered over the geometric center of the base of the pyramid or cone. If the control surface of the article is defined by pyramidd or conical frustums, it is preferred, but 30 not essential, that the planar tops of the firstsums have geometric centers which are centered over the geometric centers of their respective bases, in some instances, if the protrusions of a control layer have peaks or planar tops which are 'horizontally offser,' from their respective bases, she sheeting may have directional gripping characteristics as a result. Articles having directional gripping characteristics would likely be considered desirable in many envisioned amolications.

With respect to any embodiment of the invention, the 60 protrusions are typically between about 3 mils and about 21 mils (75 and 525 micrometers), preferably between about 5 mils and 9 mils (125 and 225 micrometers), and most preferably about 7 mils (175 micrometers), in beight. In some embodiments, the control layer can comprise protruse sionse up to about 30 mils (750 micrometers) in height, although such control layers may used to the abrayle to one's

skin. As used herein and illustrated in FIG. 2a, the height h of a protrusion refers to the length of the shortest possible line segment extending from the protrusion's peak P to its base. The protrusion's peak is defined to be the highest point of the protrusion, i.e., the point of the protrusion located furthest from the plane in which the base of the protrusion lies.

The shape of a protrusion is characterized by its aspect ratio, which is defined as the ratio of the protrusion's height  $\theta$  h to its equivalent base diameter  $D_{sc}$ . Where the base of the protrusion is a circle, the equivalent base diameter  $D_{cd}$  is simply the diameter of the circle. Where the base of the protrusion is not a circle, the equivalent base diameter  $D_{cd}$  is idefined as the diameter of a hypothetical circle having the  $\theta$  is defined as the diameter of a hypothetical circle having the  $\theta$  same area as the base. It is believed that the invention can be practiced satisfactorily if the protrusions have an aspect ratio which is from about 0.1 to about 5. Most prefusion, the aspect ratio for pyramidal protrusions is from about 0.3 to about 0.6, and the aspect ratio for protrusions which are to laptered posts is about 2.

Referring to FIG. 2d. Jayers 15 and 19 typically comprises a polymeric film selected from, for example, the group consisting of polyvinyls, polyurethanes, polyesters, e.g., polyethylene terephihalate, polyacrylies, polyearbonates, polyolefins, and mixtures thereof. Polyurethanes are presently preferred because they typically yield control surfaces which offer an optimum combination of high troughness and durability coupled with high softness and flexibility. Polyacrylics typically yield articles that are relatively rigid.

Control layer 19, excluding the height of the protrusions, is typically between about 2 mils and about 100 mils (50 and 2,500 micrometers) thick, and most preferably between about 4 mils and about 20 mils (100 and 500 micrometers) thick.

FIGS. 2a–2f illustrates article embodiments 10, 20, 30, 40, 50 and 60, respectively, in cross-section along the line 2a–2a of FIG. 1. Embodiment 10 (FIG. 2a) comprises a removable and rebondable adhesive layer 13 to which at least one major surface is adhered to a plastic layer 15, which in turn has another adhesive layer 17 adhered over the plastic layer 15.

A suitable removable and rebondable adhesive is available from Minnesota Mining and Manufacturing Company, St. Paul, Minn. ("3M") under the trade designation "Post-It". which comprises inherently tacky elastomeric acrylate copolymer microspheres as disclosed in claimed in commonly assigned U.S. Pat. Nos. 3,691,140, and 3,857,731, both incorporated herein by reference. The '140 patent teaches that the copolymer microspheres disperse in various solvents to form suspensions which can be utilized in aerosol suray applications. When a substrate is sprayed on such suspensions and the solvent thereafter evaporated. there results a continuous coating of mildly tacky pressuresensitive adhesive. Paper and the like can be applied to the surface of the coating, removed, repositioned, and rebonded. It had been found, however, that during removal of an adhered object, some of the particular adhesive spheres would transfer to the surface of the object, reducing the number of spheres on the originally coated substrate. Therefore, a substrate having the adhesive sprayed thereon would soon lose its tacky nature, and after repeated applications and removals, subsequent objects would eventually not adhere thereto. The invention of the '731 patent solved this problem by providing individual sockets on a substrate surface with a microspherical adhesive to be retained in, thereby reducing or eliminating transfer of the microspheri-

cal adhesive upon removal of an object adhered thereto. The individual sockets are conveniently provided by a binder material bonded to the substrate surface.

In accordance with the present invention, adhesive layer 13 prefembly comprises a binder material having embedded 3 therein and protruding from the exposed surface thereof, clastomeric, inherently tacky, aerylate copolymer microspheres of the type disclosed and claimed in the '140 patent. These microspheres consist essentially of about 90 to 99.5 percent by weight of at least one acyl acrylate ester and to about 10 to about 0.5 weight precent of at least one monomer selected from the group consisting of substantially oil-instoluble, water-soluble, ionite monomers and maleic anhydride. The normally tacky and clastomeric copolymer spheres are small in size, having diameters in the range of 1 about 1 to 250 micrometers, with the majority of the spheres falling in the range of about 5 to about 150 micrometers.

The binder compound utilized to anchor the spheres to prevent the removal from the adhievier surface should be compatible with the microspheres and the table or desktop 5 20 illustrated in FIG. 1, i.e., it should neither chemically attack the palymer spheres or tabletop 5 nor act as a solven for them. In other words, the anchoring binder should be inert toward the microspheres and the surface to which the article of the invention is applied. The film-forming resins having 25 aligh degree of adhesion for the actylate copolymer spheres generally are effective to anchor the spheres to a substrate. Realizely hard resins such as epochses and nitrocellulose and relatively soft resins such as explates and vinyl eithers are examples of suitable film-forming anchoring binder resins. 30

As explained in the '731 patent, where necessary, conventional primers can be conveniently utilized between adhesive layer 13 and plastic layer 15. The art of priming substrates to allow wetting or bonding of a variety of coatings is well known, such as disclosed in U.S. Pal. Nos. 35 ,2328,066 and 2926,105, which discloses primers for cellule discloses primers for eachet effins, and U.S. Pal. No. 2,897,868, which discloses primers for acetate films, and U.S. Pal. No. 2,897,950 which discloses primers for polyvinylchloride films, all of which are incorporated by reference herein.

Application of adhesive layer 13 to plastic layer 15 can be undertaken in any conventional manner. For example, to mixture may be coated to a desired thickness, using, for example, a knife, a wire-wound bar or a rotogravure roll.

Alternatively, the mixture may be sprayed onto the plastic

Although all the embodiments shown in FIGS. 2a-2y, illustrate achesive layer 13 across the entire surface of plastic 15, it will be appreciated that one advantage of the so articles of the invention is that the articles may be made with a adhesive 13 present only around the perimeter of the article. This would allow the placement of photographs or other preprinted sheets to be placed under the control layer 19.

Of course it is within the invention that the articles of the 51 invention may be adhered "permanently" to desk or tabletop 5. In these embodiments, adhesive 13 may be any of those commercially available adhesives described in assignees copending patent application Sec. No. 07929, 685, which was cross-referenced above. Suitable permanent adhesives 40 are also discussed below.

Plastic sheet, 15 as shown in FIGS. 2a-2c, as well as in FIGS. 3a-3c, 5, 7, and 9, may actually be plastic or paper. When layer 15 is paper, layers 13 and 15 may comprise a single product such as that known under the trade designa-65 tion "3M Laser Label" sheets, number 7701, which are available in 8.5 by 11 inch sheets suitable for use with a

personal computer printer such as the printers known undites that de designations "HP Desker 500C" and "HP Lascrlet Illp", both available from Hewlet Packard Copporation, Palo Alto, Calf. Laser label sheets having a model number 701 comprise a cellulose paper layer 15 having an adhesive layer 13 themen, the adhesive layer 13 in turn having a release liner 25 (FIGS, 3 and 4). The advantage of these such laser label sheets will become apparent with a description of the embodiments in FIGS. 3 and 4).

Referring again to embodiment 10 illustrated in FIG. 2a, adhesive layer 17 is a permanent adhesive, such as those described above applicable for use for adhesive layer 13. Adhesive for use in adhesive layer 17 should be inert to layer 15 and layer 19, i.e., adhesive 17 should not chemically attack the materials of layers 15 and 19.

Control layer 19 as illustrated in FIGS. 2a-2f has a control surface 2 which is a microstructured surface consisting of tiny pyramids [approx. 0.01 to 0.014 inches (about 2.54x 10-3 to about 3.56×10-2 cm) in height]. This microstructured surface gives a precise functional control surface 2 which is uniform in texture which affords good aggressive grab to a mouse tracking ball, but which is preferably not abrasive to the user's hand. A control surface preferably provides a smooth uniform and predictable movement to the pointer or cursor on the computer screen. The plastic material which comprises control layer 19 is preferably transparent and colorless allowing any printing which appears on plastic surface 15 (such as represented by ink layer 21 and FIGS. 2b and 2c) to be seen. Alternatively, pigments and/or dyes may be incorporated into control layer 19 to create a marbled appearance, or a colored opaque appearance.

Ink 21 is preferably any ink or pigmens which may printed by typical personal computer printers, and which will adhere to paper or film layer 15. As illustrated in FIGS. 2b and 2c, ink layer 21 may either be positioned at the entire crosssection of the article as illustrated in FIG. 2b, or there may be areas devoid of ink such as illustrated in FIG. 2c, as when words or other characters are printed on layer 15.

FIGS. 2d–2f illustrate alternative embodiments 40, 50, and 60 to those illustrated in 2-2c, respectively. Embodiment 40 illustrated in FIG. 2d consists simply of adhesive 13 (referenbly removable and rebondable) adhered to bottom surface of control layer 19, FIGS. 2e and 2fillustrate embodiments similar to that illustrated in FIG. 2d, with the inclusion of fink layer 21 printed or adhered to the noncontrol surface, as explained previously.

FIGS. 3 and 4 illustrate kit embodiments of the present invention. For example, embodiment 70 is represented by two shect-like materials, the first shect-like material comprised of an adhesive layer 17 having a release liner 23 attached thereto, the adhesive in turn attached to control layer 19. A second sheet-like material of the kit of FIG. 3a comprises an adhesive layer 13 (preferably a rebondable adhesive) having a release liner 25, the adhesive layer 13 to attached to paper or plastic film layer 15. In embodiment 70 of FIG. 3a, the sheet-like portion consisting of layers 13, 15 and 25 is available under the trade designation "3M Laser Label" sheets, model no. 7701, which are the 8.5 by 11 inch. sheets previously mentioned. Adhesive layer 17 is typically and preferably a permanent adhesive known under the trade designation "3M Adhesive Transfer Tape 950" from 3M, which is an acrylate-based permanent adhesive,

Kit embodiments 70' and 70" as shown in FIGS. 3b and 3c, respectively, are similar to kit embodiment 70 of FIG. 3a except for the provision of ink layers 21 as previously mentioned.

14

The kits of FIGS. 3a-2c are especially useful for users having personal computers and printers. These kits allow the user to print on the surface 15 as shown in FIGS. 3b and 3c by inserting laser label sheets such as those described above into the paper holder of a printer. Essentially any information to the proper holder of a printer. Essentially any information that can be typed or graphically shown on the computer screen can be printed on the layer 15 such as important, frequently called telephone numbers, advertising logos, designs, and the like.

Referring now to FIG. 4, embodiment 80 illustrated is 10 another two-piece kit. However, the first sheet member of the kit is simply a control layer 19 having a control surface 2, while the second sheet member consists of an adhesive layer 13 (prefcrably a repositionable addresive) having on its major surfaces release liners 23 and 25.

Release lining materials can be of any material which does not adhore permanently to the adhesive. Suitable release materials include paper or polyesters which have been treated with a non-athering substance such as a neat silicone or a fluorocarbon. Alternatively, the release material 20 may be a suspension emulsion, or dispersion of a silicone-or a fluorocarbon-based substance applied directly to the adhesive layer by any method including spraying. Silicone-treated paper is commercially available from James River Corporation (Parchment, Mich.) and a silicone-based cmul-25 sion for spray applications is commercially available from Paper-chem Last (Rockhill, N.C.).

If layer 15 in any embodiment is plastic, suitable materials include those useful in forming the control layer 19, including polyester, polywinyl chloride, polystyrene, polybryponylene, polybrypone, copoplymers of polyethylene and vinyl acetate, cellulose di- and triacetate, and ethyl cellulose. On tesselfu polyester film commercially available is that known under the trade designation "Myllar" from E. 35 memors & Company, Wilmington, Del.

As stated previously, it is possible to repositionably adhere the control layer 19 in all embodiments directly onto the desk or other surface by use of a highly plasticized control layer 19. Suitable plasticizers for this purpose 40 include both so-called "internal" and "external" plasticizers, the former meaning a copolymer of a monomer of low T, the latter meaning a compound not chemically bound to the polymer. Polyvinyl chloride is a preferred polymer for use in plastic layers since it is compatible with a variety of plasticizers and because the plasticized polymer remains quite stable physically and chemically for long periods of time. Cost, odor and other factors may be important in selecting the plasticizer. Suitable external plasticizers for polyvinyl chloride include tritolyl phosphate, dinonyl phthalate, dioctyl sebacate, dioctyl phthalate, and di-2-ethylbexyl phthalate. Dimethyl phthalate is typically used to plasticize cellulose acetate. External plasticizers may be incorporated into the polymer at a weight percentage ranging from 1 to about 50 weight percent.

Referring now to FIGS, 5-8, therein illustrated are constructions 90, 100, 110 and 120, which are alternatives to embodiments of 10, 10, 70, and 80, respectively. In FIGS, 5-8, adhesive layer 13 of embodiments 10, 70 and 80 is replaced by a three layer structure consisting of an adhesive layer 27 which abheres plastic or paper layer 29 to plastic or paper layer 15, and another adhesive layer 31, which as above described may either be a permanent adhesive or a repositionable adhesive, preferably the latter.

Illustrated in FIG. 9 is embodiment 130, an alternative of 65 embodiment 10 illustrated in FIG. 2a. Embodiment 130 illustrated in FIG. 9 differs by having a different control

surface 2 formed in the control layer 19. The control surface 2 flustrated in FIG. 9 is commonly referred to as a continuous, uniform mandom texture, and is disscribed generally in U.S. Pat. No. 4,799,054. The 'OSé patent describes this surface as a matte surface texture comprised of a great number of platical-like protrosinos seattered at random on the surface and each having a top at the same level. The spaces between the protrusions must be small compared to the surface area of the tracking ball which contacts the control surface 2.A suitable material for use as this surface is a polyvinyl chloride, available from Goss Plastics Film Corporation of Los Angeles, Calif., under the trade designation "Goss 484". This product is scratch-resistant and is available in thickness ranging from about 10 to 20 mils (2.54c1/12" to 5.088/10" em).

Other materials which may be used for control layer 19 include those mentioned in the '054 patent including a textured polycarbonate material available from General Electric Company of Pittsfield, Mass., under the trademark "Lexam", or from any of Mobil Chemical Corporation, Plastics Division, of Pittsburgh, Pa, Rohm and Haas Company of Philadelphia, Pa, and Phunko Shefield Chemical of Memphis, Tean. Polystyrene, polyester, or acceste films may also be used as well as urethane as previously discussed.

Control layer 19 preferably has hardness ranging from about 70 durometer to about 140 durometer, measured on the Shore "A" durometer scale. Such measurements should be made using an apparatus substantially meeting the American Society for Tegsing and Materials Standard D 2240-68.

It may also be beneficial to provide the articles of the invention with antistatic properties. A simple wire attachment may utilized for this jumpose. Antistatic agents or conductivity enhancers may be applied to the surface of the nisshed article or incorporated into the bulk of the plastic polymer (when polymeric layers are employed). The main groups of useful and preferred antistatic agents include ionic compounds, such as quaternary ammonium salts and amines and hydrophilit compounds such as polyglyclos and ethylene oxide derivatives. These audistats increase the electrical conductivity of the material by increasing its surface ionic activity. Other useful but less preferred methods may be used, such as incorporation of small amounts of electrically conductive metallic staple fibers, or certain types of carbon black, into the polymer layer(s).

Antistatic agents applied by surface treatment may be applied to plastic polymer layers by dipping, wiping, or spraying a solution or dispersion of the antistat in water or other inexpensive, volatile solvent.

Internal antistatic agents are incorporated into the bulk of the polymer from where it gradually migrates to the surface. Preferred are those internal antistatic agents which are reasonably compatible with the polymer, diffuses through the polymer adequately, and has thermal stability suitable for the end use of the article. The antistatic agent also should not cause undestrable side effects on the article.

The type and amount of internal antistatic agent incorporated into a polymer layer depends greatly on the composition of the polymer. Nonionic ethoxylated compounds are preferred for polyuefloride; N-alkyl diethanolamines are preferred for polyuefloria, and quaternary ammonium compounds are preferred for polyueflorianeses. The amount of internal antistatic agent generally ranges from about 0.05 weight percent to about 5 weight percent, based on total weight of polymer and antistatic agent. A more comprehensive list of both external and internal antistatic agents and there selected use in various polywer systems is available in

Kirk-Othmer, Encyclopedia of Chemical Technology, 3rd Edition, Vol. 3, pp. 149–183, John Wiley & Sons (New York, 1978), which is incorporated herein by reference.

The control layer may also have a water and oil repellant and soil resistant counting thereon for added to the polymer 5 melt composition of the control layer prior to formation of the control layer). Suitable contings include those commonly employed in fabric and carpet treatment, such as various fluorinated compounds. The fluorinated compounds may be incorporated in most conventional plastics either by copo-10 lymerization of reactive fluorinated intermediates or by the inclusion of fluorinated commonds as additives.

Fluorinated intermediates can generally be represented by the formula R<sub>y</sub>—X—Y, wherein R<sub>y</sub> is a fluoroaliphatic group, X is a divalent linking group, typically a hydrocar-15 bon, between the fluoroaliphatic group and a reactive functional group?

Typical examples of reactive intermediates useful in the present invention include alcohols, which can react with isocyanate groups in forming the preferred polyurethane 20 control layers, Useful alcohols include F(CF2)8-CH2-CH<sub>2</sub>OH and F(CF<sub>2</sub>)<sub>8</sub>—SO<sub>2</sub>—NR—CH<sub>2</sub>—CH<sub>2</sub>OH, and others disclosed in U.S. Pat. No. 4,264,484 (incorporated by reference herein). Vinyl-functional compounds, such as F(CF<sub>2</sub>)<sub>8</sub>—CH<sub>2</sub>—CH<sub>2</sub>—O—C(O)—CH—CH<sub>2</sub>, may be use- 25 ful in acrylic films. Other useful functional groups on fluoromonomers include acrylates, cpoxides, diols, diamines, diacids, and functional silanes. Other useful fluorinated compositions are the blends disclosed in U.S. Pat. Nos. 4,560,487 and 4,681,790, both of which are incorporated by reference herein. Reactive fluorinated intermediates, when incorporated either as comonomers in polymeric systems or as additives, influence the surface properties of the plastic control surface. A useful range, depending on the particular degree of repellency desired, is from about 5 to 35 70% by weight of a fluorinated monomer.

Alternatively, partially fluorinated, low molecular weight sustances may be included as additives to convending all observations. The fluorinated additives may be sufficient to the surface at rates that depend generally on the melt viscosity of the bulk plastic. As little as 0.01 weight percent of a low molecular weight copolymer of perfluoroallylelphyl methacrylate and acrylamide (83/15) significantly lowers the surface tension of an acrylic polymer film. Similar effects are seen by using perfluoroallylelphyl stearates in high density polyethylene. Generally about 0.1 to 1.0% by weight of the fluorinated additive is employed.

Since proper operation of a personal computer mouse 10 relies on the rotational movement of the tracking member maintaining a constant relationship with a linear movement of the mouse itself, it is important that control surface 2 be provided with some texture, as mouse track balls may themselves be provided with smooth surfaces. Selecting a 55 material of proper texture for the control surface 2, also, the inclusion of a texture on control surface 2 Also, the inclusion of a texture on control surface 2 provides even better operation with a mouse having a track ball including 60 a rubber-like outer surface. This frictional engagement facilitates maintaining a 1:1 relationship between the linear mouse movement and track ball rotation.

As alluded to in the discussion of application of graphic symbols to the articles of invention using inks 21 applied to 65 a substrate member (see FIGS. 2b, 2c, 3b and 3c) it is also possible to apply graphic symbols such as by the application

of ink, to the back side of control layer 19 not having the control surface 2, as illustrated in FIGS. 2 and 2f, In all embodiments of the invention, difficulties can thus be avoided that arise as to the information being worn off or interfering with the operation of track ball when the information is printed directly on control surface 2. Ink jet printers can be used to print directly onto the control surface, but this is not preferred.

Control surface 2 is defined by an array (i.e., an orderly arrangement such as a regularly repeating pattern) of precisely shaped profusions thereon. Protusions may be discrete elements laminated to control layer 19 or may be integral parts of control layer 19, i.e., control layer 19 may be structured in the form of protusions 22.

In preferred embodiments of the invention, protrustions on control surface 2 comprise pyramidal protrustions, i.e., pyramids with polygonal bases or pyramidal frustums with polygonal bases. Each polygonal base is disposed on a first surface of control layer 19 and is defined by a plurality of line segments which lie in or on the plane of the control layer.

The polygonal bases of the protrusions are preferably selected from the group constituting of triangular bases, quadrilateral bases, pentagonal bases, hexagonal bases, otagonal bases, nonagonal bases and decagonal bases. In a most preferred embodiment, as illustrated in all FIGS. except FIG. 9, the protrusions are triangular pyramids, i.e., three-sided pyramids having triangular bases. The polygonal bases of the protrusions are tryiterally immediately adjacent one another such that there is no land separating the polygonal bases.

The line segments defining the sides of the polygonal base of each pyramidal portunism are proferably relatively equal in length, but need not be. By relatively equal, it is meant that the length of the shortest line segment is equal to at least about 50 percent of the length of the longest line segment. Most preferably, the line segments defining the sides of the polygonal base of each pyramidal protrusion are equal in length. Each line segment is typically between about 5 and about 15 mils (125 and 1,875 micrometers), but preferably is between about 5 and about 30 mils (125 and 750 micrometers), and most preferably between about 10 and about 20 mils (225 and 500 micrometers), in lensth.

As previously stated, referring again to FIGS. 3 and 4, the sheeting of the invention can include optional release liners and optional permanent adhesive layers. Permanent adhesive layers (springer) bond to the substrue article to which the resultant sheeting is to be applied. For example, hearestwated adhesives, pressure-sensitive adhesives, and mixtures thereof can be used. An Illustrative example of a useful adhesive is that known under the trade designation "3M Adhesive Transfer Tage 950" from Mimested Mining and Manufacturing Company. Many suitable epoxy, urethane, and acrylic adhesives are commercially available.

In some instances, the protrusions of the control surface may be made of a first, relatively hard and highly durable material, and the control layer 19 may be made of a relatively more flexible material. Further, the material of the protrusions can comprise an abrasive or other filter. Further, all or a portion of each protrusion can be filted with a fluid, e.g., a gas such as air or nitrogen. If a gas were used, the pressure of the gas within each protusion would have to be selected to provide the protrusions with the desired amount of commerciality.

Properly constructed articles of the invention generally exhibit a combination of high durability and friction due to the coupling of hard protrusions (e.g., polycarbonate), which are typically substantially incompressible and non-collapsible, with a more conformable, flexible material (e.g., polyurethane) that results in a more cushioned impact during use.

The control layer of some embodiments of articles of the 5 invention can be made using techniques which are somewhat similar to those used to make cube-corner retroreflective sheetings. It will be understood, however, that the control layer is preferably transparent, and can be made in a variety of transparent colors if desired. For example, 10 referring to FIG. 2a, control layer 19 can be made such that it will retroreflect less than about 10 percent of a beam of electromagnetic radiation which is incident at any angle to control surface 2, the electromagnetic radiation having any wavelength within the visible light or infrared radiation 15 regions, i.e., wavelengths ranging from about 0.39 micrometers to about 1,000 micrometers. Thus, at least a portion of control layer 19 can have a structure similar to retrorefleetive sheetings, but need not be retroreflective. This means that control layer 19 can be made of less expensive materials 20 because optical performance is not a concern. Further, control layer 19 need not necessarily be manufactured in as precise a manner as retroreflective sheetings since optical performance is not needed.

A control layer 19 useful in the invention may be formed 25 by cutting a series of v-shaped grooves into a solid sheeting, molding a sheeting with the desired precisely shaped protrusions thereon, or molding precisely shaped protrusions and then applying them to a desired backing sheet, Many of the techniques used for fabricating cube-corner retroreflec- 30 tive sheeting may be used to form the control layers useful in the invention, with the important advantage that the optical properties critical to retroreflective sheetings are not necessary for sheets of the invention, U.S. Pat. No. 4.576. 850 (Martens), which is incorporated in its entirety herein by reference, discloses a process for replicating microstructured surfaces that may be used in making sheetings of the invention. U.S. Pat. No. 3,689,346 (Rowland) also discloses a method comprising applying a hardenable molding material over a mold having a multiplicity of cube-corner formations therein.

Desired flexibility, clasticity, and conformability of the inventive article is dependent in part upon the desk or other surface to which it is to be applied. It is preferred that the 4s so as to give or compress under pressure of a track hall when the article is used as a mouse pad. During use, it is possible that the table or surface may be flexible, such as when the mouse pad of the invention is placed over a conventional 50 ("Gom-backe" mouse pad, Accordingly, a mouse pad of the invention for use thereos should be flexible, as described above.

In general, an article of the invention useful as a mouse pad can be repositionably secured to any surface over which the mouse traverses.

Other modifications and uses of the articles of the invention will become apparent to those skilled in the art. The articles of the invention may be of use in airplanes as covers for pall-down trays, or as coasters for drink containers. The 60 control surface may have thereon a coating such that it will receive indicia, such as a \$5.50 weight ratio coating of methyl methacrylata/v-lavily pyrolidene copolymer such as those coatings typically applied to the face of overhead transparencies. The article may be formed to contain a pocket such as that photos or artwork can be inserted therein, or folding lines may be formed into the article such that it forms a flag lines may be formed into the article such that it forms a flag

wherein art or photos can be contained. These modifications and alterations of the invention are considered within the scope of the following claims.

- What is claimed is:

  1. A repositionable article having a microstructured surface comprising:
  - a) a removable and rebondable adhesive layer having first and second surfaces;
- b) a control layer having a control surface and a back surface, the second surface of the adhesive layer adhered to the back surface of the control layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.
- 2. Article in accordance with claim 1, wherein said adhesive layer comprises a rebondable adhesive exhibiting a peel adhesion ranging from about 22 to about 275 grams per cm.
- Article in aecordance with claim 1, wherein said adhesive layer comprises a binder material having embedded therein and protruding from an exposed surface thereof, elastomeric, inherently tacky, acrylate copolymer microspheres.
- Article in accordance with claim 1, wherein said control layer is transparent.
- Article in accordance with claim 1, wherein said control layer consists essentially of a polymeric organic material.
- Article in accordance with claim 1 having a graphic representation positioned between the adhesive layer and control layer.
- Article in accordance with claim 1 having a graphic representation positioned on the control surface.
- Article in accordance with claim 1 having an antistatic agent dispersed in the control layer.
- Article in accordance with claim 1 having an antistatic agent coated onto the control surface.
- Article in accordance with claim 1 having a fluorochemical dispersed in the control layer.
   Article in accordance with claim 1 having an fluoro-
- chemical coated onto the control surface.

  12. Article in accordance with claim I having a copolymer coating on the control surface, the copolymer comprising about 95 weight precent of polymerized methyl methacrylate units and about 5 weight percent polymerized. Nevinyl
- pyrtolidone units,

  13. Article in accordance with claim 1 wherein said
  adhesive comprises a pressure-sensitive adhesive layer comprising a piurally of spaced clumps of particles substantially
  uniformly distributed over and protruding from one face of
  the pressure-sensitive adhesive layer, the tigs of the clumps
  of particles being substantially free from the pressure-sensitive adhesive heart of the pressure-sensitive adhesive.
- A repositionable article having a microstructured surface comprising:
- a) a removable and rebondable first adhesive layer having first and second surfaces;
  - a substrate layer having first and second surfaces, the second surface of the first adhesive layer adhered to the first surface of the substrate layer;
  - e) a second adhesive layer having first and second surfaces, the first surface of the second adhesive layer adhered to the second surface of the substrate layer; and
  - d) a control layer having a control surface and a back surface, the back surface adhered to the second surface of the second adhesive layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.

 Article in accordance with claim 14 wherein said substrate layer is selected from a group consisting of plastic and paper.

16. Article in accordance with claim 14 wherein said first adhesive layer comprises a binder material having embedded therein and protruding from an exposed surface thereof, elastomeric, inherently tacky, acrylate copolymer microspheres.

17. Article in accordance with claim 14 wherein said first adhesive layer comprises a pressure-sensitive adhesive layer comprising a plurality of spaced clumps of particles substantially uniformly distributed over and protruding from one face of the pressure-sensitive adhesive layer, the tips of the clumps of particles being substantially free from the pressure-sensitive adhesive.

18. Article in accordance with claim 14, wherein said second adhesive layer is a permanent adhesive.

 Article in accordance with claim 14, wherein said control surface consists of a uniform array of a plurality of pyramids having a height ranging from about 2.54x10<sup>-3</sup> cm about 0.1 cm.

 Article in accordance with claim 14 wherein said substrate layer is a foamed material.

21. Article in accordance with claim 14, wherein said control layer is transparent.

22. Article in accordance with claim 21, wherein said substrate layer has between its second surface and the first surface of said second adhesive a graphic design adhered therebetween.

23. Article in accordance with claim 14, wherein said first adhesive layer has a peel adhesion ranging from about 22 to about 275 grams per cm.

24. A kit for use in producing a repositionable sheet member, the kit comprising:

a) a first sheet member consisting of:

 a first release liner material having first and second surfaces:

 a first adhesive layer having first and second surfaces, the second surface of the first adhesive layer removably adhered to the first surface of the first 40 release liner material; and

3) a control layer having a control surface and a back surface, the back surface of the control layer adhered permanently to the first surface of the first adhesive layer, the control surface defined by an array of a 45 plurality of precisely shaped raised regions and a plurality of recessed regions; and

b) a second sheet material consisting of:

 a second release liner material having first and second surfaces:

 a removable and rebondable second adhesive layer having first and second surfaces, the second surface of the second adhesive layer adhered to the first surface of the second release liner material; and

3) a substrate layer having first and second surfaces, the 55 second surface of the substrate layer athered to the first surface of the substrate layer, and the first surface of the second adhesive layer, and the first surface of the substrate layer adapted to be permanently athered to the first surface of the first adhesive layer show the first relates material is removed 60 from the first adhesive layer and the first adhesive layer and substrate layer are joined.

25. Kit in accordance with claim 24 wherein said second adhesive layer comprises a binder material having embedded therein and protruding from an exposed surface thereof, es lastomeric, inherently tacky, acrylate copolymer microsonberes.

26. Kit in accordance with claim 24 wherein said second athesive layer comprises a pressure-sensitive adhesive layer comprising a plurality of spaced clumps of particles substantially uniformly distributed over and protruding from one face of the pressure-sensitive adhesive layer, the tips of the clumps of particles being substantially free from the pressure-sensitive adhesive.

27. Kit in accordance with claim 24 further comprising a graphic layer, the graphic layer positioned either between the first adhesive layer and the control layer, or adhered to the second surface of the substrate layer.

28. A kit adapted to be manipulated by the user into a repositionable article having a microstructured surface, comprising:

 a) a first sheet material having a control surface and a back surface, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions; and

b) a second sheet material comprising:

1) a first release material having first and second surfaces:

2) a removable and rebondable adhesive layer adapted to be attached to the back surface of the control layer, the adhesive layer having first and second surfaces, the second surface of the adhesive layer removably adhered to the first surface of the first release material: and

3) a second release material having first and second surfaces, the second surface of the second release material removably adhered to the first surface of the adhesive layer.

29. Kit in accordance with claim 28 wherein said adhesive layer comprises a binder material having embedded therein and protruding from an exposed surface thereof, clastomerie, inherently tacky, acrylate copolymer microspheres.

30. Ki in accordance with claim 28 wherein and adhesive layer comprises a pressure-sensitive athesive layer comprising a plurality of spaced clumps of particles substantially uniformly distributed over and protruding from one face of the pressure-sensitive adhesive layer, the tips of the clumps of particles being substantially free from the pressure-sensitive adhesive.

 A repositionable article having a microstructured surface comprising:

 a) a removable and rebondable first adhesive layer having first and second surfaces;

 a first substrate material having first and second surfaces, the second surface of the first substrate material adhered to the first surface of the first adhesive layer;

 a second adhesive layer having first and second surfaces, the second surface of the second adhesive layer adhered to the first surface of the first substrate layer;

d) a second substrate layer having first and second surfaces, the second surface of the second substrate layer adhered to the first surface of the second adhesive

 c) a third adhesive layer having first and second surfaces, the second surface of the third adhesive layer adhered to the first surface of the second substrate material; and

f) a control layer having a control surface and back surface, the back surface of the control layer adhered to the first surface of the third adhesive layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.

32. Kit in accordance with claim 31 wherein said first adhesive layer comprises a binder material having embed-

ded therein and protruding from an exposed surface thereof, clastomeric, inherently tacky, acrylate copolymer microspheres.

- 33. Xit in accordance with claim 31 wherein said first adhesive layer comprises a pressure-sensitive adhesive layer 5 comprising a plurality of spaced clumps of particles substantially uniformly distributed over and protuding from one face of the pressure-sensitive adhesive layer, the tips of the clumps of particles being substantially free from the
- Kit in accordance with claim 31 wherein said control layer is transparent.
- 35. Kit in accordance with claim 31 wherein a graphics layer lies in between said second substrate layer and said 15 third adhesive layer.
- A kit including first and second sheet-like articles, the kit comprising;
  - a) the first sheet-like article consisting of:
    - a first release material having first and second surfaces:
    - a first adhesive layer having first and second surfaces, the second surface of the first adhesive layer removably adhered to the first surface of the first 25 release layer; and
    - a control layer having a control surface and a back surface, the back surface adhered to the first surface of the first adhesive layer, the control layer defined as above; and
  - b) said second sheet-like article consisting of:
    - a second release material having first and second surfaces:
    - a removable and rebondable second adhesive layer 35 having first and second surfaces, the second surface of the second adhesive layer removably adhered to the first surface of the second release material;
    - 3) a first substrate layer having first and second surfaces, the second surface of the first substrate layer adhered to the first surface of the second adhesive layer.
    - a third adhesive layer having first and second surfaces, the second surface of a third adhesive layer 45 adhered to the first surface of the first substrate layer; and
    - 5) a second substrate layer having first and second surfaces, the second surface of the second substrate layer adhered to the first surface of the third adhesive layer.
- 37. Kit in accordance with claim 36 wherein said second adhesive layer comprises a binder material having embeddod therein and protruding from an exposed surface thereof, 55 elastomeric, inherently tacky, acrylate copolymer microsuberes.
- 38. Kit in accordance with claim 36 wherein said second adheaive layer comprises a pressure-sensitive adheaive layer comprising a plurality of spaced clumps of particles substantially uniformly distributed over and protuding from one face of the pressure-sensitive adhesive layer, the tips of the clumps of particles being substantially free from the pressure-sensitive adhesive layer.
- 39. Kit in accordance with claim 36, wherein said control layer is transparent.

- 40. Article in accordance with claim 36 which further comprises a graphics layer adhered to the first surface of the second substrate.
- A kit including first and second sheet-like articles comprises;
  - a) a first sheet-like article consisting of a control layer having a control surface and a back surface, the back surface adapted to be contacted with a second sheetlike article, the control surface defined as above; and
  - b) a second sheet-like material consisting of:
    - a first release material having first and second surfaces;
       a removable and rebondable first adhesive layer
  - having first and second surfaces, the second surface of the first adhesive layer removably adhered to the first surface of the first release material;
  - a substrate layer having first and second surfaces, the second surface of the substrate layer adhered to the first surface of the first adhesive layer;
  - a second adhesive layer having first and second surfaces, the second surface of the second adhesive layer adhered to the first surface of the substrate layer; and
    - 5) a second release material having first and second surfaces, the second surface of the second release material removably adhered to the first surface of the second adhesive layer, the second release material and the first release material adapted to be removed from the second sheet-like article, and the first surface of the second surface of the control layer.
- 42. Kit in accordance with claim 41 wherein said first adhesive layer comprises a binder material having embedded therein and protruding from an exposed surface thereof, elastomeric, inherently tacky, acrylate copolymer microspheres.
- 43. Kit in accordance with claim 41 wherein said first adhesive layer comprises a pressure-sensitive adhesive layer comprising a plurally of spaced clumps of particles substantially uniformly distributed over and protruding from one face of the pressure-sensitive adhesive layer, the tips of the clumps of particles being substantially free from the pressure-sensitive adhesive.
  - 44. A support article from which sheet-like pressure sensitive adhesive-backed articles can be removed, the article comprising a plurality of repositionable articles each having a microstructured surface, each repositionable article comprising:
    - a) a removable and rebondable adhesive layer having first and second surfaces;
    - b) a control layer having a control surface and a back surface, the second surface of the adhesive layer adhered to the back surface of the control layer, the control surface defined by an array of a piurality of precisely shaped mised regions and a piurality of recessed regions.
  - the repositionable articles being relatively disposed so that at least a portion of the control surface contacts at least a portion of the first surface of the adhesive layer of an underlying repositionable article.
- 45. The support article of claim 44 wherein the repositionable articles are disposed in the form of a stack.

- 46. The support article of claim 44 wherein the repositionable articles are disposed in the form of a roll.
- 47. The support article of claim 44 further comprising a support member upon which is mounted one or more of said repositionable articles.
- 48. A repositionable article having a microstructured surface comprising:
  - a) a non-adhesive, high friction layer having first and second surfaces;
- b) a control layer having a control surface and a back surface, the second surface of the non-adhesive, high friction layer adhered to the back surface of the control layer, the control surface defined by an array of a plurality of precisely shaped raised regions and a plurality of recessed regions.

\* \* \* \* \*

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.: 5,508,084

DATED: April 16, 1996

INVENTOR(S): Reeves et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Col. 12, line 43, Delete "2fillustrate" and insert -2f illustrate-

Signed and Sealed this Eleventh Day of February, 1997

Attest:

BRUCE LEHMAN

Buce lehran

Attesting Officer

Commissioner of Patents and Trademarks

# ATTORNEY DOCKET NO. 5923.0001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Goecke

TITLE : Adhesive Tape

SERIAL NO. : 10/674,108

FILING DATE : September 29, 2003

ART UNIT : 1788

CONFIRMATION NO. : 2438

ATTORNEY DOCKET NO. : 5923.0001

# Exhibit G

Hornibrook U.S. Patent 4,248,762

U.S. PATENT DOCUMENTS

2,858,250 10/1956 Knoil et al. 201/42.22 3,075,853 1/1963 Striker et al. 428/328 3,300,428 1/1967 Schmidt 260/42.22

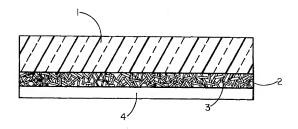
Feb. 3, 1981

[45]

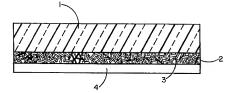
[54]		E SENSITIVE PRODUCTS WITH IVE APPEARANCE	3,332,055 3,379,560 3,389,105	7/1967 4/1968 6/1968	Bogner
[75]	Inventors:	Walter J. Hornibrook, Newburgh; Ronald A. Lombardi, New Windsor, both of N.Y.	3,475,213 3,872,051 3,910,857	10/1969 3/1975 10/1975	Bolger
[73]	Assignee:	Stauffer Chemical Company, Westport, Conn.	3,988,494 4,097,445	10/1976 6/1978	McAdam
[21]	Appl. No.:	893 926	FO	REIGN	PATENT DOCUMENTS
٠.,	•••	Apr. 5, 1978	687156 2402928 441083	2/1975	Canada
	Rela	ted U.S. Application Data	Primary Ex	aminer—	-Stanley S. Silverman
[62]	Division of	Ser. No. 816,236, Jul. 18, 1977.			Firm-Richard P. Fennelly
[51]	Int. Cl.3	C08K 3/08	[57]		ABSTRACT
[52]	U.S. Cl	260/42,22; 427/208.4;		sensitive	product with a decorative appear-
[58]	428/337	428/328; 428/356 arch	ance is dis transparent adhesive a non-leafing	closed w plastic f ttached metallic	thich comprises: (a) a substantially thin; (b) a layer of pressure sensitive to the film, said layer containing flakes; and, (c) optionally, a release andhesive, Such a product is easily
[56]		References Cited			irst applied without substantial pres-
	***	DATES IN DOCUMENTS	mire to a	desired c	whetento After application of make

pressure, the product exhibits superior adhesion as compared to a control product not containing the metallic 7 Claims, 1 Drawing Figure

sure to a desired substrate. After application of such



flakes.



FIGURE

# PRESSURE SENSITIVE PRODUCTS WITH DECORATIVE APPEARANCE

This is a division of application Ser. No. 816,736 filed 5 July 18, 1977.

# BACKGROUND OF THE INVENTION

#### Field of the Invention

The present invention is a pressure sensitive plastic 10 film product with a decorative appearance which is useful, for example, as trim or a decorative marking on

#### 2. Description of the Prior Art

ance, for example, a metallic or pigmented appearance, have been formed in the past using plastic films which are substantially transparent. In such products a thin coating which provides opacity and background color. sometimes referred to as a "blotch" coat, comprising ink and pigment has been juxtaposed between one side of the film and a pressure sensitive adhesive/release liner subcombination. In these known products, the blotch coat was quite thin, and the amount of pigment was quite critical if the desired properties were to be 25 obtained. Inclusion of too little pigment resulted in a product not having the desired degree of opacity for the intended decorative effect. If too much pigment was present, the desired degree of opacity was achieved but 30 the cohesive strength of the blotch coat was severely diminished leading to delamination of the pressure sensitive product.

# SUMMARY OF THE PRESENT INVENTION

The present invention is a pressure sensitive product having a decorative appearance which comprises: (a) a substantially transparent plastic film; (b) a layer of pressure sensitive adhesive attached to the film, said layer containing non-leafing metallic flakes; and (c) optionally, a release liner attached to the adhesive. Such a pressure sensitive product is surprisingly, easily repositionable when first applied without substantial pressure to a desired substrate. However, after pressure is applied to affix it to the substrate in a desired position, it 45 ultimately exhibits superior adhesion as compared to a control product not containing the metallic flakes.

# BRIEF DESCRIPTION OF THE DRAWING

The FIGURE which is attached hereto and which 50 forms a part of the specification is an enlarged cross-sectional view of a pressure sensitive product made in accordance with the present invention.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The pressure sensitive product of the present invention will be more fully understood by referring to the Drawing which forms a portion of this application. In the Drawing, a preferred embodiment of the present 60 invention is shown in cross-section wherein a substantially transparent plastic film 1 has laminated to one of its sides, a layer of pressure sensitive adhesive 2 containing non-leafing metallic flakes 3. A release liner 4 preferably covers the side of the adhesive layer 3 which is 65 opposite film 1 in order to protect the adhesive from contamination from dirt or other solid or liquid contam-

The plastic film 1 may be any conventional, substantially transparent and flexible film known to persons of ordinary skill in the art of fabricating decorative pressure sensitive products. The term "substantially transparent" as used herein is intended to encompass those plastic films which are transparent enough to allow a viewer to perceive the decorative effect generated by the metallic flakes 3 in the adhesive 2. The film thickness will generally range from about 2 mils (0.05 mm.) to about 20 mils (0.5 mm.), and the film can be a homoor copolymer of vinyl chloride, the preferred film material, a polyester resin, a cellulose resin, or the like, Films of this type are well known to the art and have been used heretofore in forming decorative laminates which Pressure sensitive products with a decorative appear- 15 differ in construction from the present laminate.

The pressure sensitive adhesive 2 which is used in the present laminate may also be any of the pressure sensitive adhesives which are known and conventionally used in the art. As for the applicable tacky, pressure 20 sensitive adhesives which may be utilized in the product of this invention, they may be based upon an elastomeric material such as: (1) natural rubber; (2) synthetic rubbers including, for example, styrene-butadiene copolymers, polyisobutylene, butadiene-acrylonitrile copolymers, polychloroprene, and polyisoprene; (3) acrylic copolymers containing at least 50 percent, by weight, of a C4-C12 alkyl acrylate ester, i.e., an alkyl acrylate ester wherein the alkyl group contains from 4 to 12 carbon atoms, together with a hardening comonomer, for example, vinyl acetate, styrene, methyl methacrylate, methyl acrylate, ethyl acrylate, ethyl methacrylate, and vinyl chloride; and (4) polymers of alkyl vinyl ethers such, for example, as polymethyl vinyl ether and polyethyl vinyl ether. Acrylic pressure sensi-35 tive adhesives are most preferred since they have the best balance of adhesive and collesive properties for the present laminate. The thickness of the adhesive layer 2 will generally be in the range of from about 0.5 mil (0.013 mm.) to about 2.0 mils (0.05 mm.).

The pressure sensitive adhesive layer 2 contains an effective amount of non-leafing metallic flakes 3 to produce a desired decorative effect and to surprisingly increase the adhesion of the product after it has been applied with pressure to a desired substrate. These flakes 3 are homogeneously dispersed throughout the adhesive layer 2 by means of conventional mixing apparatus. The term "non-leafing metallic flakes" is well known in the art and such products can be formed by means well known to persons of ordinary skill in the art. For purposes of the present invention, non-leafing aluminum flakes are preferred, and the following description of its method of manufacture and properties will sufficiently apprise a person of ordinary skill in the art as to how this metallic component might be formed.

The aluminum flake which can be employed in the preparation of the laminate of the instant invention can be prepared in several ways. The most common means of obtaining aluminum flake is to atomize molten aluminum and subsequently grind it or hammer it in the presence of various lubricants. If the lubricant is a long chain saturated fatty acid, the prepared flake is not easily wetted by a resinous adhesive vehicle and tends to float to the surface subsequent to application, giving a more or less continuous layer of aluminum flakes. This phenomenon is called leafing. Such leafing flakes are not suitable for use in the laminate of the present invention. It is therefore desirable to remove the long chain fatty acid or to grind or hammer the atomized alumi-

num in the presence of other materials such as, for example, the short chain fatty acids. The flakes thus produced are more easily wetted by the resinous adhesive vehicle and tend to become randomly dispersed rather than to float and are therefore less likely to layer at the 5 surface during the curing or drying of the adhesive.

The aluminum flake can, if desired, be subjected to various treatments which impart a gloss or polish thereto. These polished aluminum flakes may then be utilized when a highly reflective decorative effect is to 10 be generated by the laminate of the present invention.

These non-leafing aluminum flake particles are platelike in appearance but have a ragged edge which follows no geometrical design which may be observed as being peculiar to the flakes in general. The flatness or 15 plate-like appearance of the flake is generally determined by the method by which the flakes were prepared. The hammered flakes tend to be more flat than the ball milled; however, both may be used with equally good results. For a more complete description of non- 20 bodiments of the present invention: leafing aluminum flake and the methods of preparation, reference is made to Organic Coating Technology, Payne, vol. II, 1961.

The particle size of the flake should be predominantly from about 100 mesh to about 200 mesh. However, 25 with the present invention. substantial amounts may be above 100 mesh and up to 60 mesh. A predominant number and preferably about 80 percent of the particles should be from about 200 to about 60 mesh. Excellent compositions may be made which aids suspension.

It is quite significant that at least the predominant amount of the aluminum flakes have a particle size in the range of from 200 to 60 mesh. As a substantial number of the particles approach a smaller size (-325 mesh) 35 there are an insufficient number of larger planer surfaces provided in the adhesive to give the desired degree of opacity needed.

The aluminum flake particles are employed in amounts of at least about 5 percent by weight of the 40 adhesive layer with a maximum of about 20 percent. Optimum effects have been obtained when amount of flake is present at about 12%, by weight of the adhesive. Use of too little flake will not give the desired decorative effects, whereas amounts higher than described 45 above will weaken the adhesive/substrate bond. It is preferred that from about 10 percent to about 15 percent of the flake be used.

If desired, a tinting pigment may be added to the flake containing composition. Examples of such pigments 50 which may preferably be used include phthalocyanine green, phthalocyanine blue, indanthrene yellow, burnt sienna, indo orange, phthalocyanine blue green tone, carbon black, phthalocyanine blue red shade, quinacridone red and hydrated iron oxide.

One suitable non-leafing aluminum flake product which is commercially available from Alcan Metal Powder, Inc. is known by the grade designation "Grade MD-3100".

If the laminate of the present invention is to be manu- 60 factured at one location and used at another location, a release liner 4 is preferably affixed to the side of the pressure sensitive layer 3 which is furthest removed from film 1. The release liner 4 may be any of the release liners known to persons of ordinary skill in the art of 65 making pressure sensitive products including removable, water soluble protective coatings, and the like. One preferred liner material is silicone coated release

paper having a thickness of from about 2.0 mils (0.05 mm.) to about 12 mils (0.3 mm.). Of course, if the film-/adhesive composite is to be manufactured and applied to a desired substrate at the same manufacturing location, a release liner may not be needed.

The laminate of the present invention can be formed by any of the techniques used to form pressure sensitive films. In a preferred embodiment, when a release liner, such as release paper, is to be used, the adhesive formulation in solvent form containing the non-leafing metallic flakes is applied to the release liner and the adhesive/release liner composite is heated to dry the adhesive layer. This combination is then laminated to the plastic film to form the final product. In those few applications wherein the product is not intended to have a release liner, the adhesive/metallic flake composite can be cast onto the vinyl film, and the adhesive layer in the resulting laminate can be dried as needed.

The following Examples show certain preferred em-

#### EXAMPLE 1

This Example illustrates a procedure for forming a decorative pressure sensitive product in accordance

A transparent, plasticized polyvinyl chloride (PVC) film was heat laminated to itself on a lamination machine by passing two layers of such film over a series of hot drums in order to achieve a heat seal temperature of using flake having as much as 15 percent -325 mesh 30 about 300° F. (149° C.). The PVC film was simultaneously embossed on one side with a brushed silk pattern at the lamination point. The film that resulted had a thickness of 8 mils (0.2 mm.).

An adhesive formulation was then prepared which comprised 100 gm. of a 30%, by weight, solids acrylic pressure sensitive adhesive polymer and 3 gm. of a non-leafing, finely divided aluminum flake, The adhesive that was used was a high molecular weight thermoplastic acrylic terpolymer available as "Durotak 80-1053" from National Starch and Chemical Corp. The aluminum flake that was used is commercially available from Alcan Metal Powder, Inc. as "Grade MD-3100".

The adhesive and aluminum flake were mixed together for from about 5 to about 10 min. at high speed to effectively disperse the aluminum flake particles. The adhesive/aluminum flake composition was then coated onto silicone coated release paper using a laboratory coating blade. Removal of the volatiles from this coated layer was accomplished by drying the layer for 2 minutes at 250° F. (121° C.) in an oven. The dried adhesive thickness was about 1.2 mils (0.03 mm.).

The resultant adhesive coated release paper was then laminated to the unembossed side of the transparent 55 PVC film by passing both the film and release paper through a laboratory nip roller at sufficient pressure to insure intimate contact of the adhesive with the film. This PVC film/adhesive/release liner laminate was then conditioned for 16 hours at laboratory ambient conditions to allow the adhesive to form a strong bond to the film. The opacity and aesthetics of the laminate were judged to be satisfactory.

Peel adhesion of the product was then determined by bonding one inch (2.54 cm.) strips of the PVC/adhesive laminate (after removal of the release liner) to a stainless steel panel using the standard Pressure Sensitive Tape Council 4.5 pound (2.04 kg.) roller. Peel adhesion at 180° C. was then measured after a 24 hour wetout or "dwell" period on a Thwing-Albert type tester. The value achieved was about 7.5 pounds per linear inch (ppli) or about 1.34 kg. per linear cm. Virtually all of the adhesive remained on the film thereby demonstrating both high adhesive strength to the film and high cohe- 5 sive strength, the former being of more importance when the product is to be used as a permanent decorative film on a desired substrate.

5

A Control laminate, lacking the aluminum flake, yielded a peel adhesion of only 5.5 ppli (0.98 kg./linear 10

One advantage of the aluminum flake containing laminate as compared to the control laminate was the greater ease of repositionability that it exhibited. The presence of the flake reduced the "quick grab" of the 15 adhesive allowing for initial repositioning of the lami-

#### EXAMPLE 2

In another experiment, two other leafing, rather than 20 non-leafing, aluminum flakes ("Grade MD-2100" and "Grade MD-5100" from Alcan Metal Powder, Inc.) were tried in similar amounts but they produced poor adhesion of the adhesive to the vinyl film when tested as above after 20 min. and 24 hr. dwell times on the sub- 25 strate. The results of all tests are set forth in the Table which follows. All values are given as force (either lbs. or kg.) per linear (abbreviated "I.") unit of measure (either in, or cm.):

Stainless Steel Adhesion

No.	Metallic Flakes	20 min. Dwell	24 hr. Dwell	
١.	None (control)	2 lbs. 6 oz./1. in. (0.43 kg./1. cm.)	4 lbs. 10 az./1. in. (0.82 kg./1, cm.)	35
2.	Non-leafing 1	3 lbs. 4 oz./1. in. (0.58 kg./1. cm.)	9 lbs./l. in. (L61 kg./l. cm.)	-
3.	Leafing <sup>2</sup>	3 lbs. 4 oz./1. in. (0.58 kg./1. cm.)	4 lbs. 8 oz./1. in. (0.8 kg./1. cm.)	
4.	Leafing <sup>3</sup>	4 lbs. 10 oz./1. in. (0.8 kg./1. cm.)	6 lbs./1. in. (1.07 kg./1. cm.)	40

<sup>&</sup>quot;Grade MO-3HX" from Alean Metal Powder, Inc. "Grade MD-2100" from Alexa Metal Powder, In

adhesions after one minute: No. 1-3.5 lbs./l, in. (0.63) kg./l. cm.);

No. 2-1.3 lbs./l. in. (0.23 kg./l. cm.); No. 3-2.4 ibs./l. in. (0.43 kg./l. cm.); and No. 4-2.9 lbs./l. in. (0.52 kg./l. cm.). No. 2, the product of this invention, 50 has the lowest initial tack but the highest adhesion after 24 hr. dwell times.

After 20 minutes, when the film/adhesive laminates were peeled back from the panel, product Nos. 1 and 2 showed no transfer of adhesive to the plate from the 55 film whereas products Nos. 3 and 4 showed transfer of adhesive. After 24 hours, product No. 1 showed no transfer, product No. 2 showed cohesive failure of the adhesive as illustrated by adhesive remaining on both film and panel, and products Nos. 3 and 4 showed fail- 60 ure of the adhesive to the film as illustrated by most of the adhesive being left on the panel. In those end uses where the product is to be left permanently on the object, these observations are merely of interest as to how the internal strength of the adhesive (cohesive strength) 65 and bond strength of adhesive to film and/or substrate (adhesive strength) are related. Adhesion strength would be unquestionably the most important property.

EXAMPLE 3 Approximately 2000 yards (1835 m.) of a 4 mil (0.01 mm.) transparent PVC film was heat laminated to itself

and was simultaneously embossed on one side with a shallow brushed silk roll.

An adhesive formulation was prepared by mixing 300 gm, of the acrylic adhesive used in Example 1, 15 gm, of ethyl acetate, 15 gm, of toluene and 12 gm, of the nonleafing aluminum flake used in Example 1. The mixing was performed at high speed on a Cowles type dissolver until the flake was completely dispersed in the adhesive solution. The viscosity of the formulation after mixing was about 2000 cps. (Brookfield viscosity 25° C., No. 2 spindle 20 rpm.).

The adhesive formulation containing the aluminum flakes was then applied to 90 pound basis weight. bleached kraft, silicone coated release paper using a reverse roll coater. A streak-free, uniform coating of adhesive on the release paper was produced, and the adhesive coating was dried by passing the adhesive/release paper laminate through an oven at 140° F. (60° C.) for 40 seconds followed by passage through a second oven at 270° F. (132° C.) for 45 seconds.

This adhesive/release paper laminate was then laminated to the unembossed side of the transparent PVC film as described in Example 1.

The resulting product was then tested against a control product that did not contain aluminum flakes in the adhesive using the test procedures described in Example 1. The adhesion at 180° was measured on stainless steel and lacquered panels using the same test method as described in Example 1. The results are given below in pounds per linear inch and (in parenthesis) in kilograms per-linear centimeter:

Stainless Steel Adhesion

Film	20 min	. Dwell	24 hr	. Dwell
Film of this Invention	4.2	(0.75)	10.3	(1.84)
Control	3.4	(0.61)	6.6	(1.18)

The 20 min. dwell readings showed no adhesive Each of the above samples had the following peel 45 transfer from the film to the substrate, whereas both 24 hour dwell readings showed slight transfer using the same units given above:

Lacquer Painted Panel Adhesion

Film	30 min	. Dwell	24 h	. dwell
Film of this Invention	5.6	(1.0)	11.4	(2.04)
Control	5.6	(1.0)	6.5	(1.16)

At 30 minutes no adhesive transfer was noted for the film of this invention as compared to slight transfer for the control film. After 24 hours on the panels, both films showed cohesive failure as evidenced by transfer of substantial amounts of adhesive to the panel.

The films were also tested to determine the resistance by the adhesive to shrinkage of the vinyl film. This was evaluated by bonding a 1 inch x 10 inch (2.54 cm, x 25.4 cm.) adhesive coated film cut in the direction of travel of the film in the laminator to an aluminum panel with a standard 4.5 pound roller. The ends of the film were scored with a razor blade and after a 2 hour wet out period at room temperature the assembly was placed in

<sup>3-</sup>Grade MO-5100" from Alcan Metal Powiler, Inc.

a 250° F. (121° C.) oven for 30 minutes. Growth of the razor cut was measured with a magnifier after the film was cooled to room temperature. The film of this invention had a mounted shrinkage of 1/64" (0.04 cm.), whereas the control film had a shrinkage of 2/64" (0.08 Charles Francis

# EXAMPLE 4

This Example compares the adhesion of a film of the 10 present invention (Film A) to that exhibited by the type of decorative film known to the prior art (Film B).

Film A was an 8 mil (0.2 mm.) transparent polyvinyl chloride film that had been prepared by laminating two 4 mil (0.1 mm.) films together followed by application 15 of adhesive containing non-leafing aluminum flakes as described in Example 1.

Film B was a 7 mil (0.175 mm.) transparent polyvinyl chloride film made by laminating a 3 mil (0.075 mm.) 20 transparent film to the vinyl side of a 4 mil (0.100 mm.) film that had previously been printed on one side with a silver ink for decorative purposes. The same adhesive used in making Film A was applied to the silver ink side of the 7 mil film without the presence of the aluminum

Both films were applied to lacquer painted stainless steel panels and the peel adhesion was measured after the two films had been subjected to various environ- an mental conditions for various lengths of time. The procedure described in Example 1 was employed to mount the films and measure the peel adhesion. The Table that is given below sets forth the results that were obtained in pounds/linear inch and (in parenthesis) kg./linear 35 centimeter:

		Adhesion of Fit	
Condition	Time	A	В
Room Temperature	72 hrs.	10.5	5.5
		(1.88)	(0.98)
158° F. (70° C.)	7 days	10.3	6.5
		(1.84)	(1.16)
158° F. (70° C.)	14 days	9.5	6.5
		(1.70)	(1.16)
158° F. (70° C.)	30 days	9.3	5.3

COR		

			Adhesion of Film	
<ul> <li>Condition</li> </ul>		Time	A	B
100° F. (37.8° C.)	1	7 days	(1.66) 10.5 (1.88)	(0.95) 6.8 (1.21)
100% Relative Hamidity	)			

These data demonstrate the uniformly higher adhesion values for the film of the present invention as compared to the type of film known to the prior art.

The above Examples merely illustrate certain preferred embodiments of the present invention and should not be construed in a limiting sense. The scope of protection which is sought is set forth in the appended claims.

What is claimed:

1. In combination, a pressure sensitive adhesive with non-leafing metallic flakes having a predominant particle size of from 200 to 60 mesh homogeneously dispersed throughout said adhesive in an amount of from at least about 5% to about a maximum of about 20%, by weight of adhesive, to render a plastic film laminate containing a layer of said adhesive initially repositionable when applied to a substrate and to confer increased adhesion for said laminate after sufficient pressure is applied to the laminate to bond it to the substrate.

- 2. The combination of claim 1 wherein the metallic flakes are aluminum flakes.
- 3. The combination of claim 2 wherein the metallic flakes are present at from about 10 weight percent to about 15 weight percent of the adhesive.
- 4. The combination of claim 1 wherein the pressure sensitive adhesive is an acrylic pressure sensitive adhe-
- 5. The combination of claim 1 wherein the metallic flakes are present at from about 10 weight percent to 40 about 15 weight percent of the adhesive.
  - 6. The combination of claim 1 wherein the adhesive is an acrylic pressure sensitive adhesive and the metallic flakes are aluminum.
  - 7. The combination of claim 6 wherein the metallic 45 flakes are present at from about 10 weight percent to about 15 weight percent of the adhesive.

55

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 4,248,762

DATED : February 3, 1981

INVENTOR(S): Walter J. Hornibrook et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Col. 2, line 21, "an" after "upon" should read -- any --.

Signed and Sealed this

Twenty-fifth Day of August 1981

ISEALI

Attest:

GERALD J. MOSSINGHOFF

Attesting Officer

Commissioner of Patents and Trademarks